

Prof. Maurizio De Crescenzi

Dott. Luca Camilli

Carbon nanotubes photovoltaics devices

Stage Invernale presso il Dipartimento di Fisica

(6 – 10 Febbraio 2012)

Modulo Didattico “Materiali per la Conversione Fotovoltaica”



UNIVERSITÀ DI ROMA
TOR VERGATA

The objective is to use forefront nanotechnologies to develop novel solar cells.

Specifically, these cells are formed by Single-Wall-Carbon-NanoTubes (SWCNTs) and Multi-Wall-Carbon-Nanotubes decorated by quantum nanodots metallic and/or semiconductors.

Carbon Nanotubes serve both as e-h charge production and charge transport highways.

The aim is to: (i) Better control of the synthesis of carbon nanotubes and to perform appropriate characterization and photoconduction measurements, as a function of key parameters during synthesis;

(ii) to perform systematic studies to clarify the physico-chemical reasons of the generation of photocurrent in carbon nanotubes/silicon substrates;

(iii) to insert carbon nanotubes into photovoltaic devices and assess their photoconversion performance.

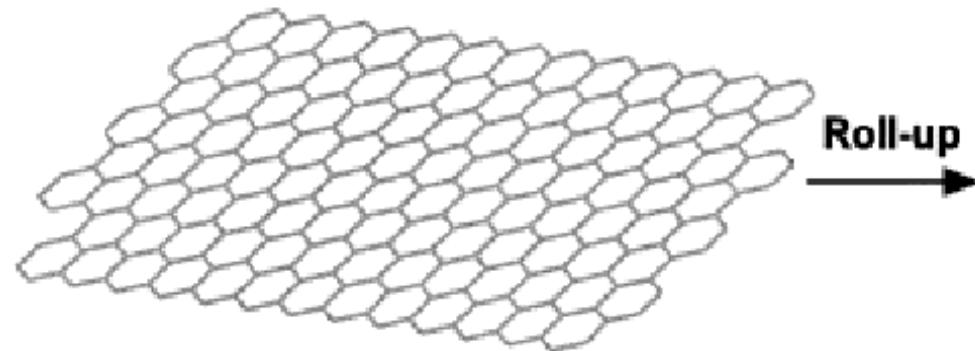
Outline

- History of the photoconductivity with CNTs
- Methods of Synthesis CVD, Characterization, Devices
- Results on MWCNTs and SWCNTs electrochemical (Graetzel) solar cells, decoration with Cu nanoparticles to enhance the IPCE (%) up to 15%
- Solid state CNT/Silicon solar cell devices
- New routes for production of CNT (on steels)
- CNTs as gas nanosensors (NIRAP project)



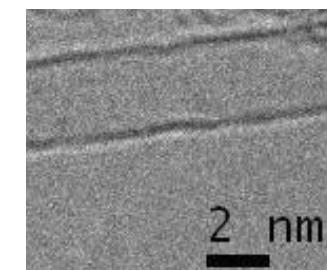
Carbon nanotube structure

Graphitic sheet

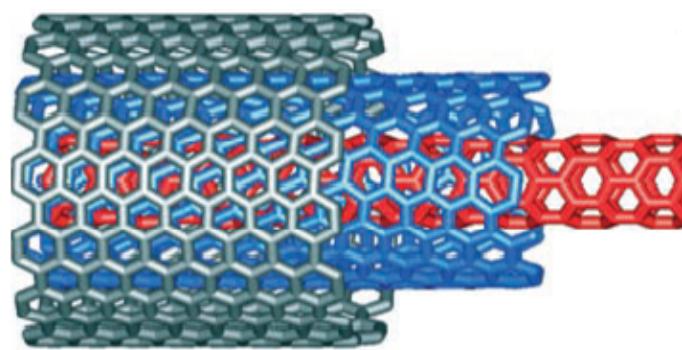


Single Wall CNT

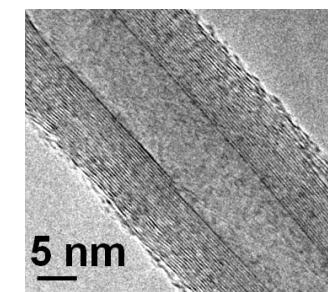
$\langle d \rangle = 1\text{--}2,5 \text{ nm}$



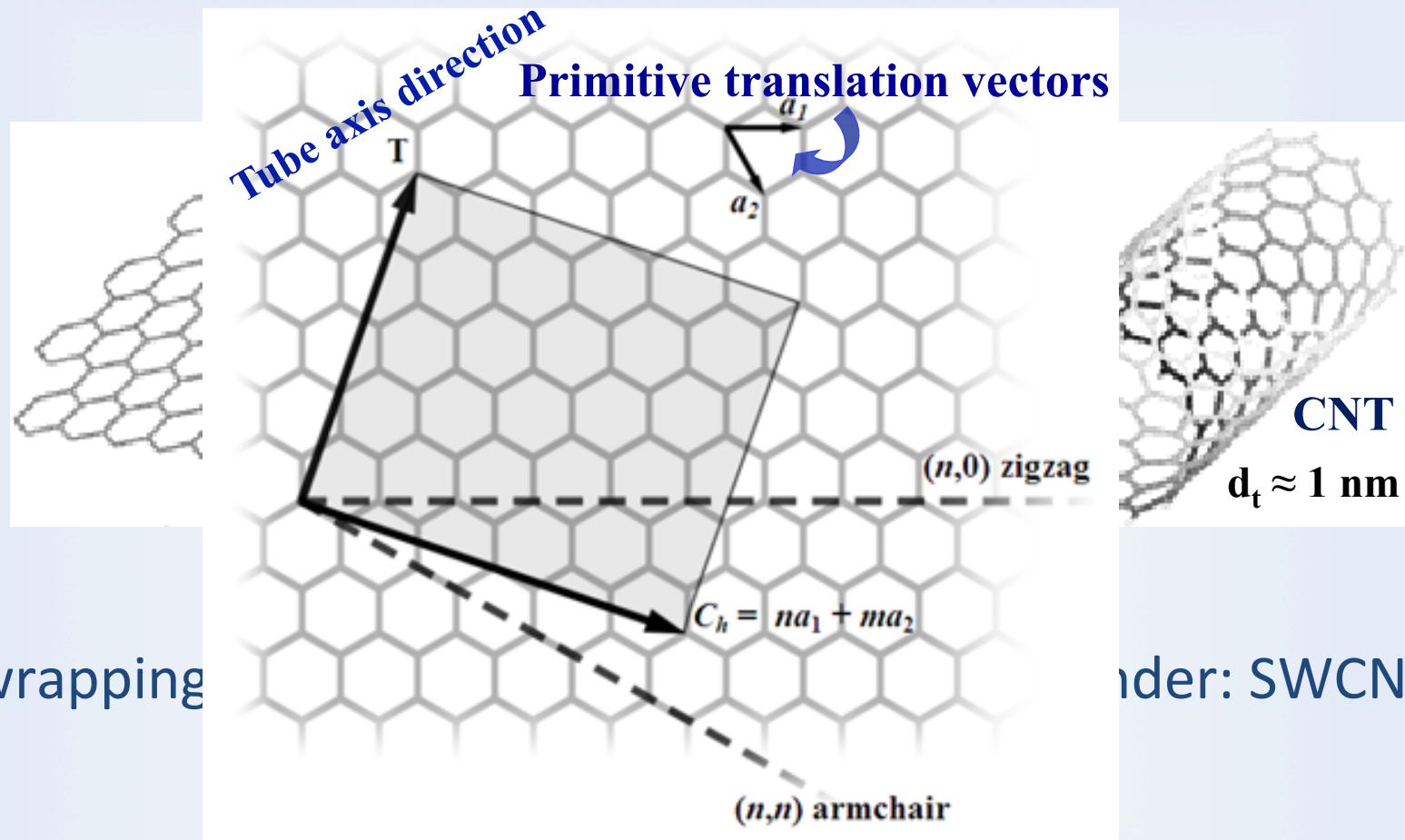
Multi wall CNT



ID > 4-5 nm
OD < 40-50 nm



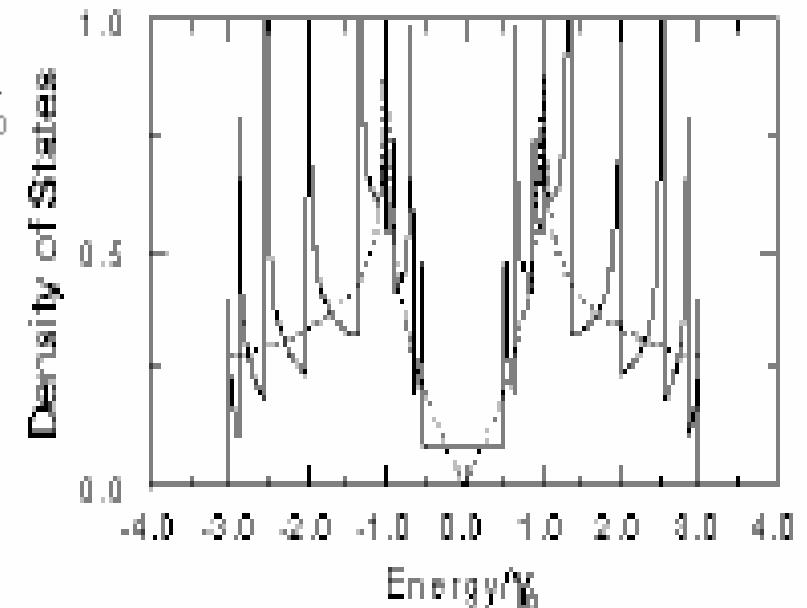
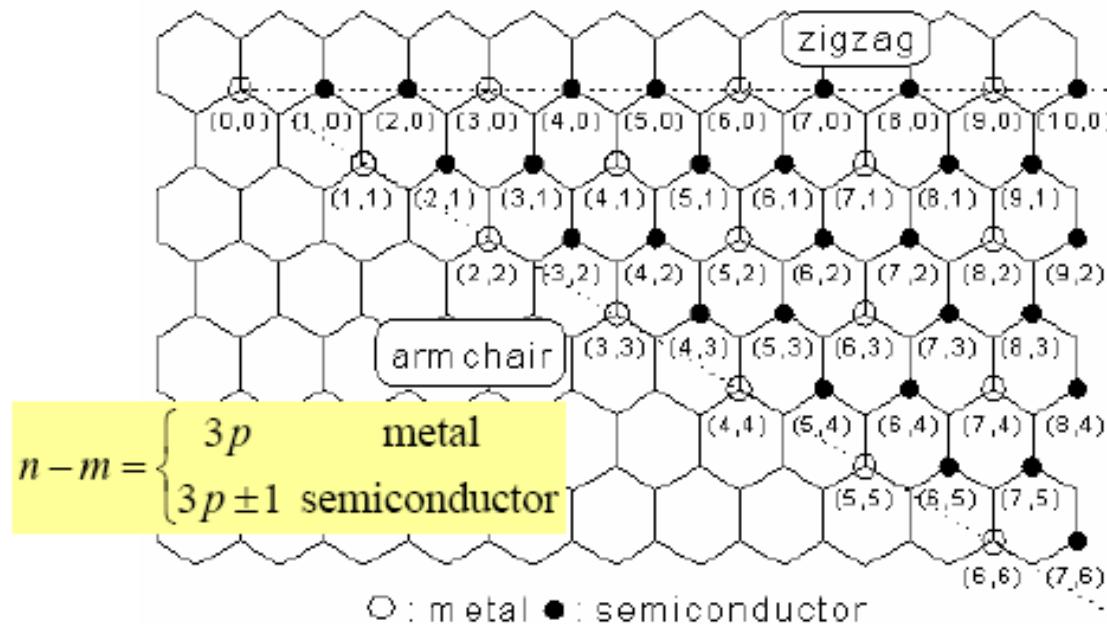
Carbon Nanotube (CNT)



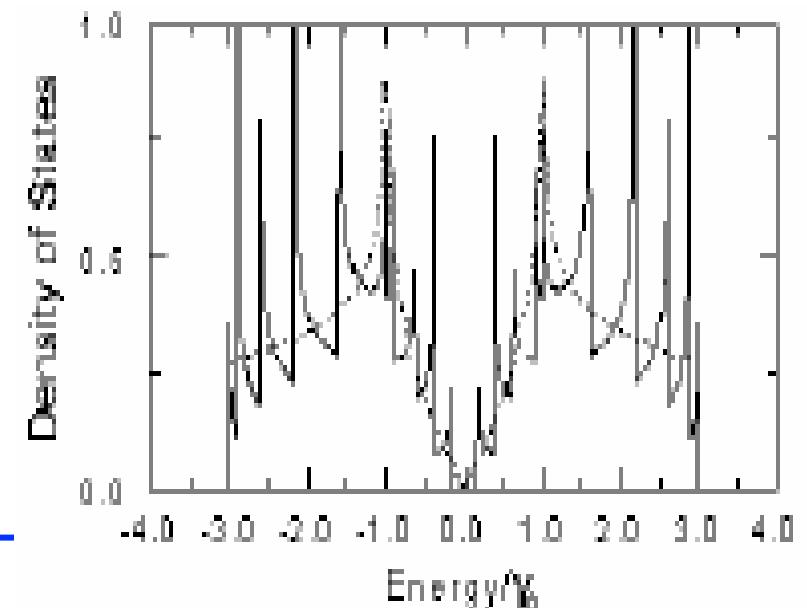
❖ By wrapping

❖ The way this graphene sheet is wrapped is represented by a pair of indices (n,m) called the chiral vector \mathbf{C}_h thus defining the single-walled nanotube chirality.

METAL OR SEMICONDUCTOR ?

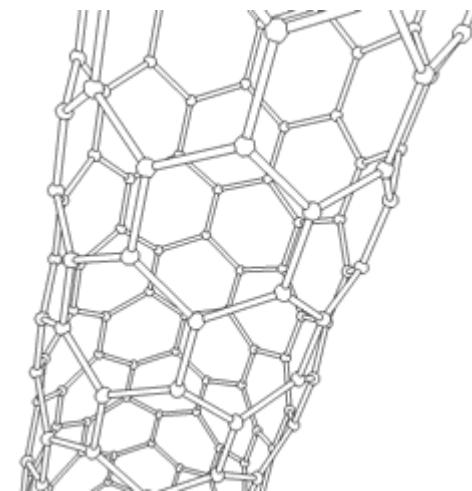


The energy band gap depends on diameter and elicity spacing and varies from less than 0.1 eV to more than 2 eV depending on nanotube diameter.

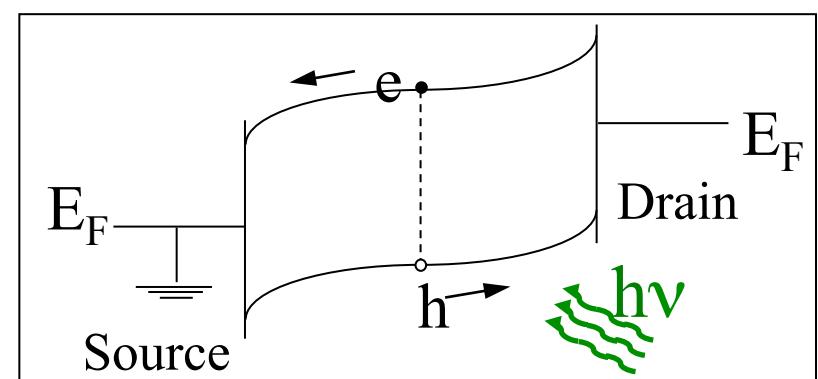
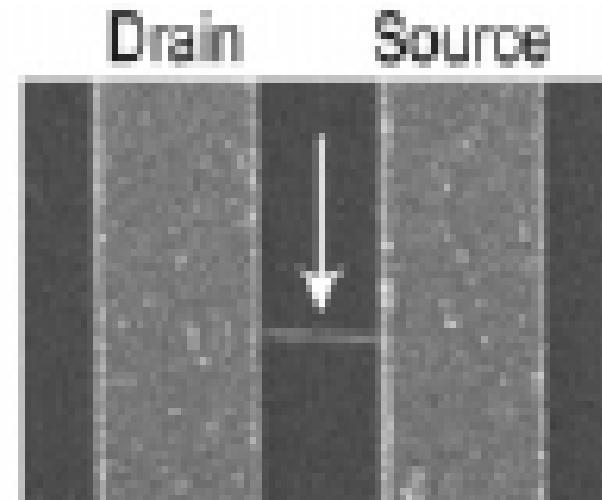
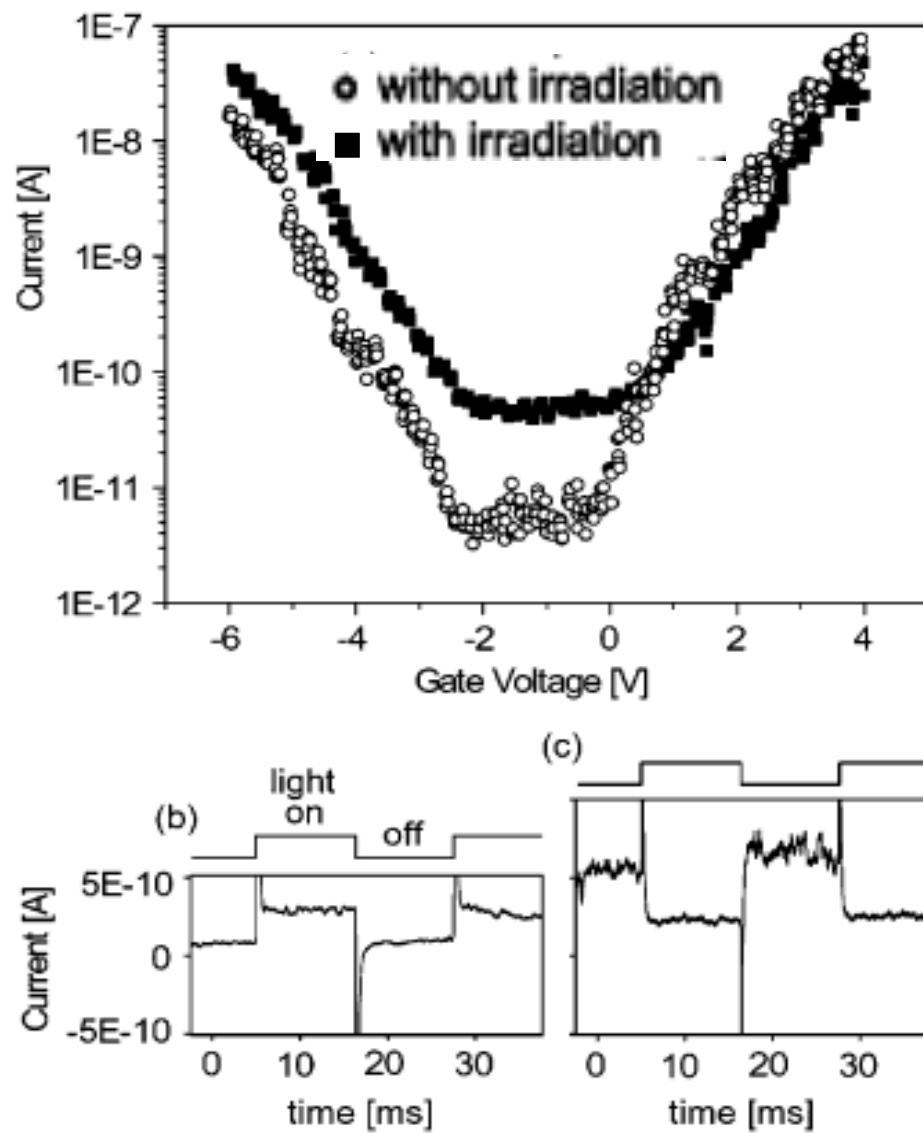


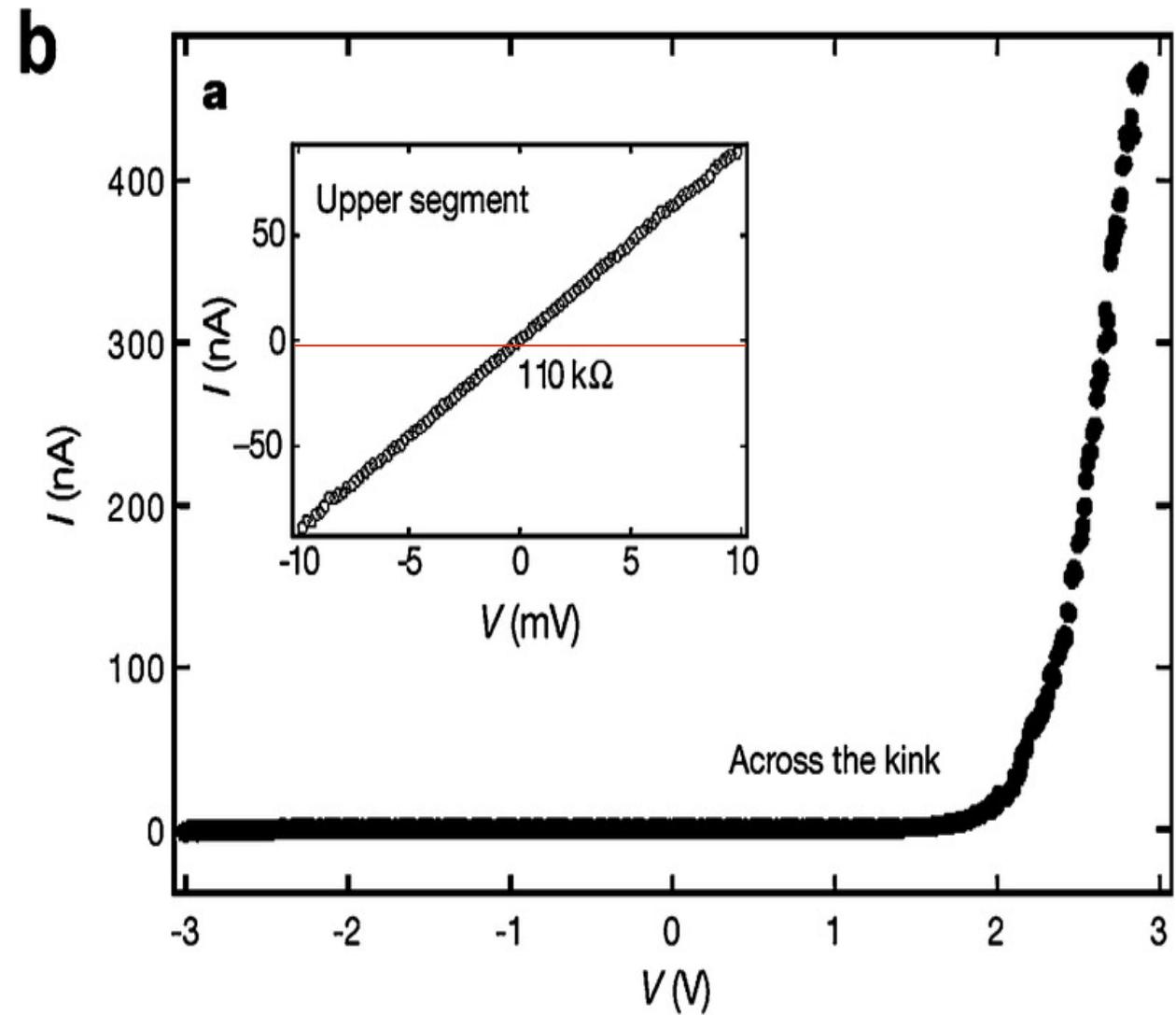
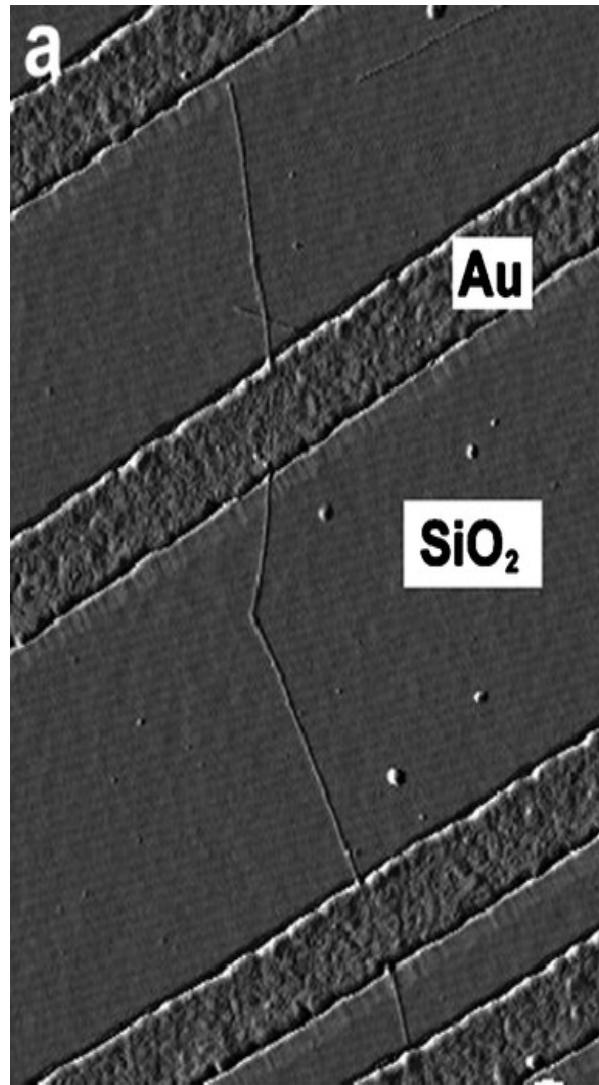
Carbon Nanotubes (CNT) as building blocks for solar energy conversion devices

- ✓ Unique opto-electronic properties
- ✓ Wide electrochemical stability window
- ✓ High surface area
- ✓ Cylindrical morphology provides reactive edges to chemical functionalization and surface modification
- ✓ Carbon nanotubes have a band-gap in the range of 0-1.1 eV depending on their chirality and diameter
- ✓ No need of selective doping to form p-n junctions

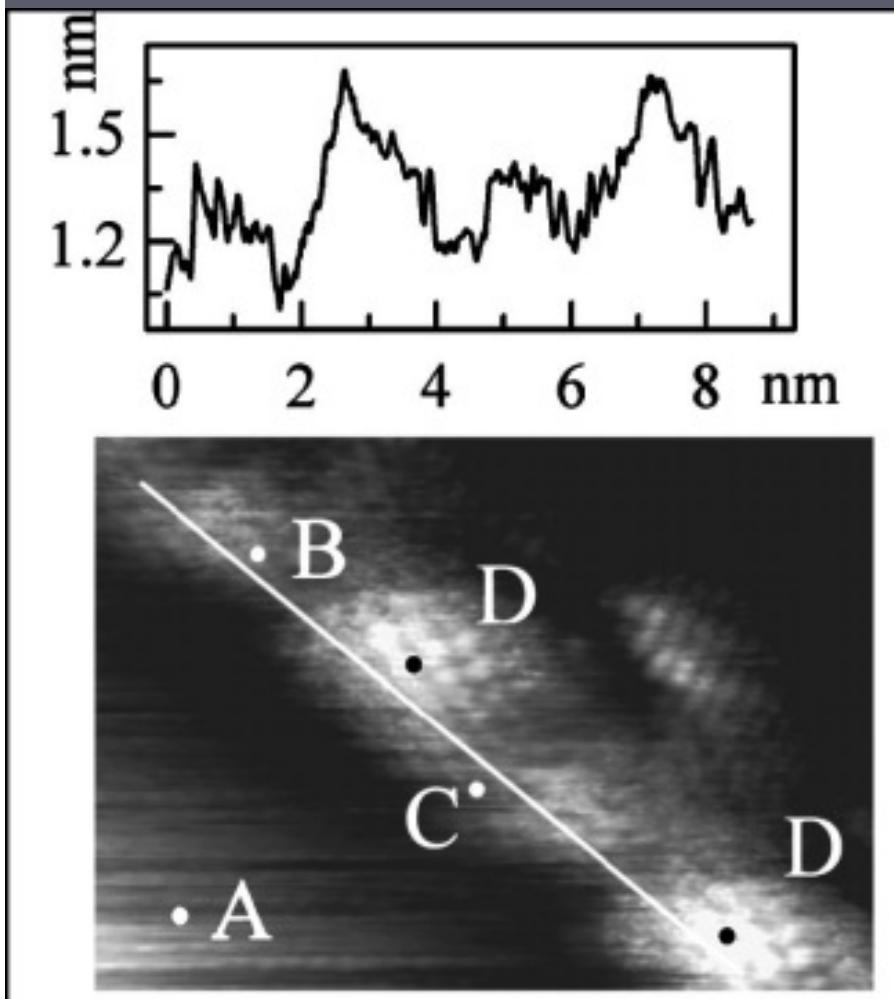


Photoconductivity of Single Wall Carbon Nanotubes
M. Freitag, Y. Martin, J. A. Misewich, R. Martel, Ph. Avouris
Nano Letters 3, 1067 (2003)

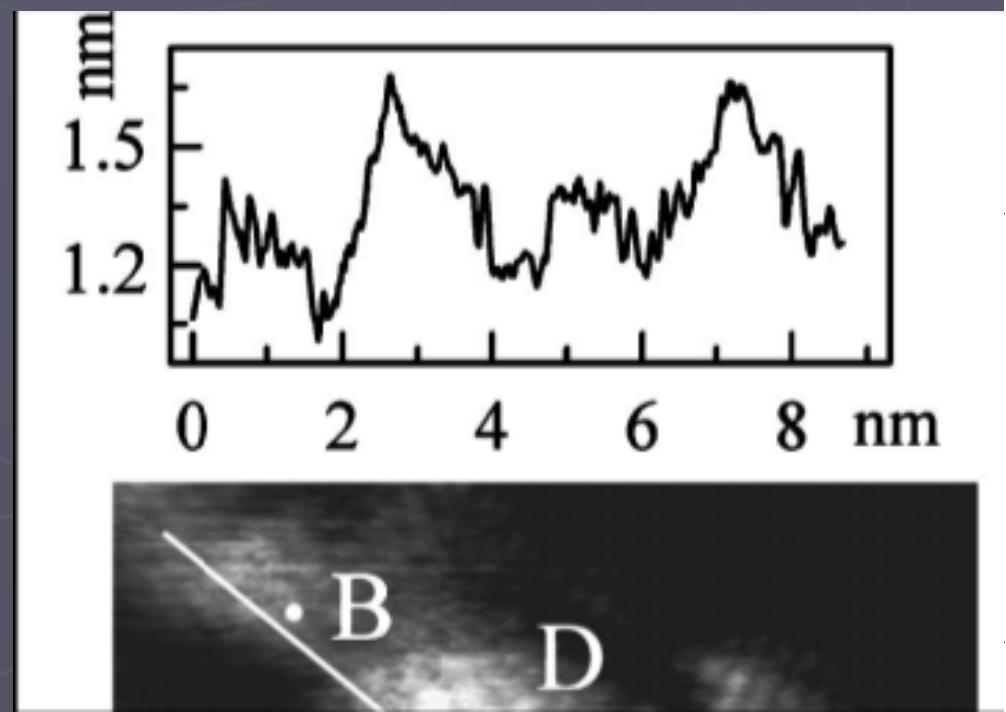




Z.Yao Z, H.W.C.Postma, L.Balents, C.Dekker, *Nature* 402, 273–76 (1999)
Formation of Schottky barrier within the same metal and semiconductor nanotube



The conductance increases at locations where the CNT is squeezed, while it decreases significantly in unsqueezed regions characterized by an unperturbed hexagonal net



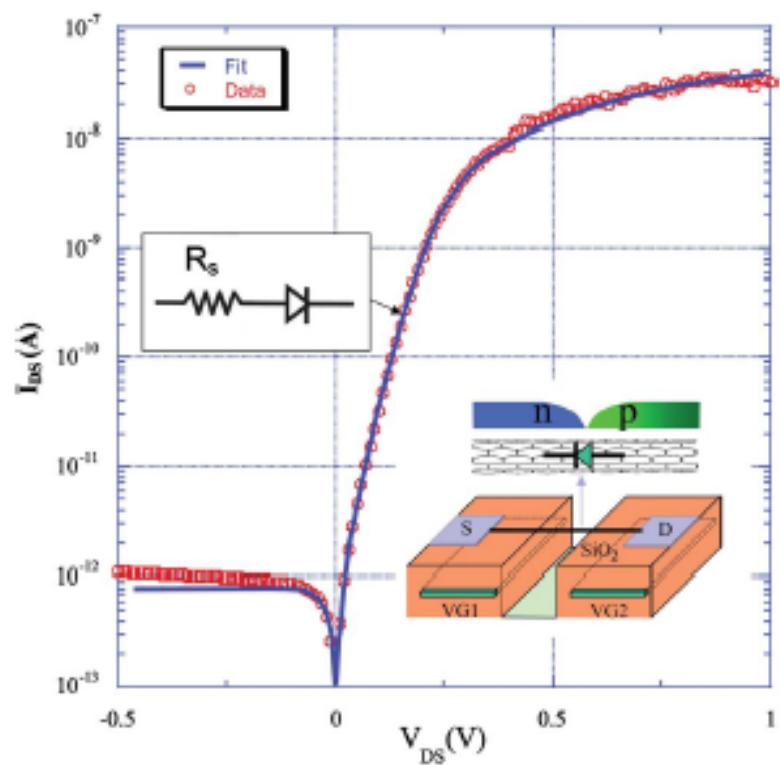


FIG. 1. (Color) The inset shows the split gate device where VG1 and VG2 are biased with opposite polarities ($VG1=-VG2=+10$ V) to form an ideal $p-n$ junction diode along a SWNT. Data are typical dark current-voltage ($I-V$) curve at $T=300$ K with a fit to Eq. (1) using $I_0=8.0\times 10^{-13}$ A, $n=1.0$ with a series resistance $R_s=18\times 10^6$ ohms.

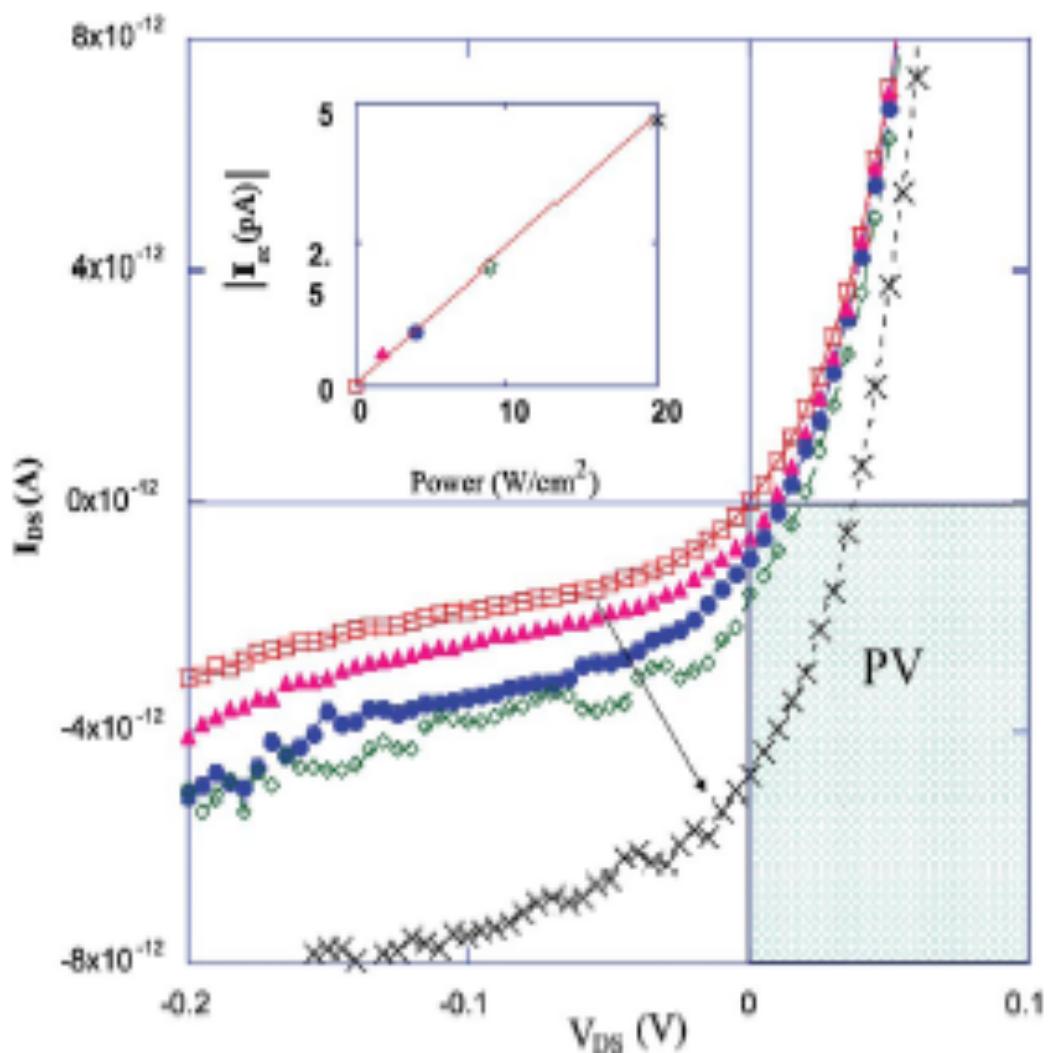
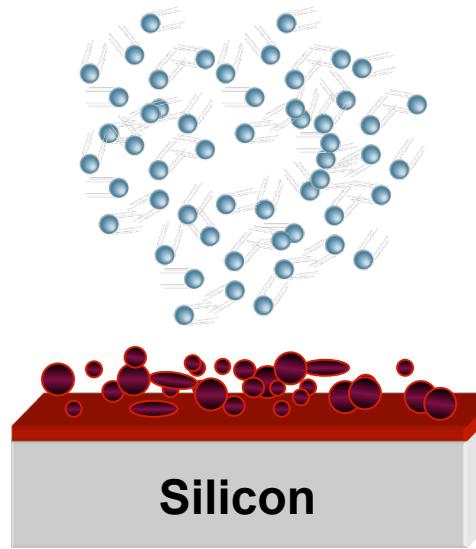


FIG. 2. (Color) $I-V$ characteristics under increased light intensity showing a progressive shift into the fourth quadrant (PV) where the diode generates power. The inset shows the expected linear increase in the current measured at $V_{DS}=0$ (I_s) with illuminated power.

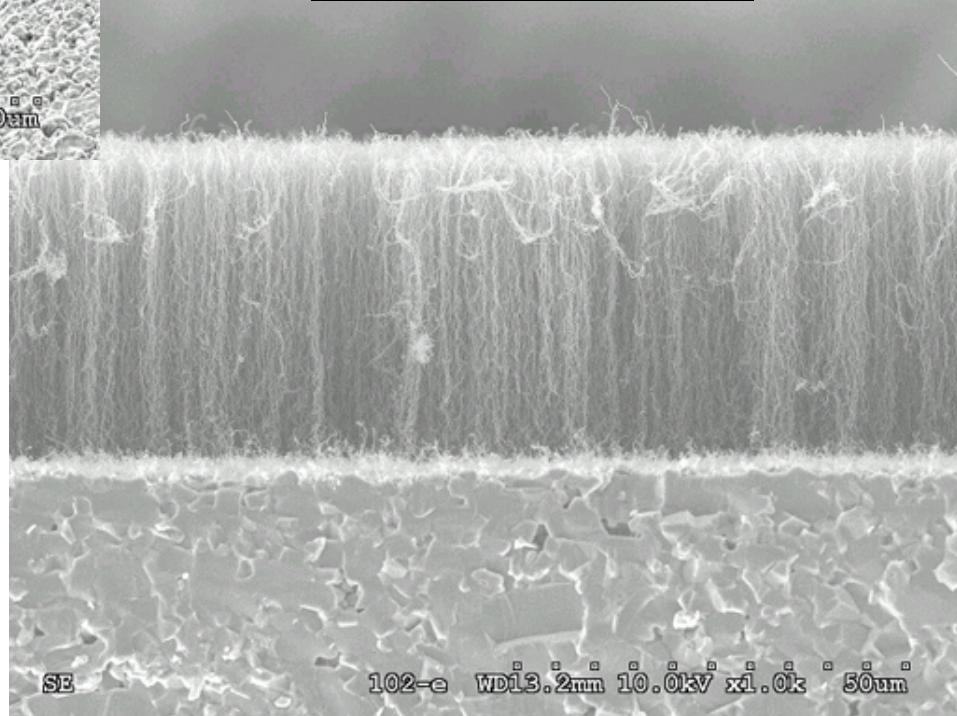
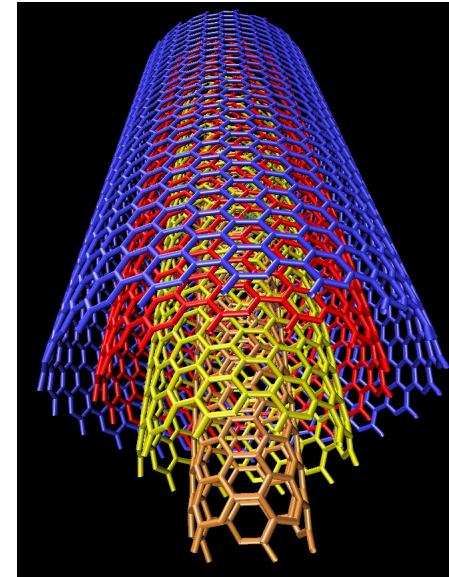
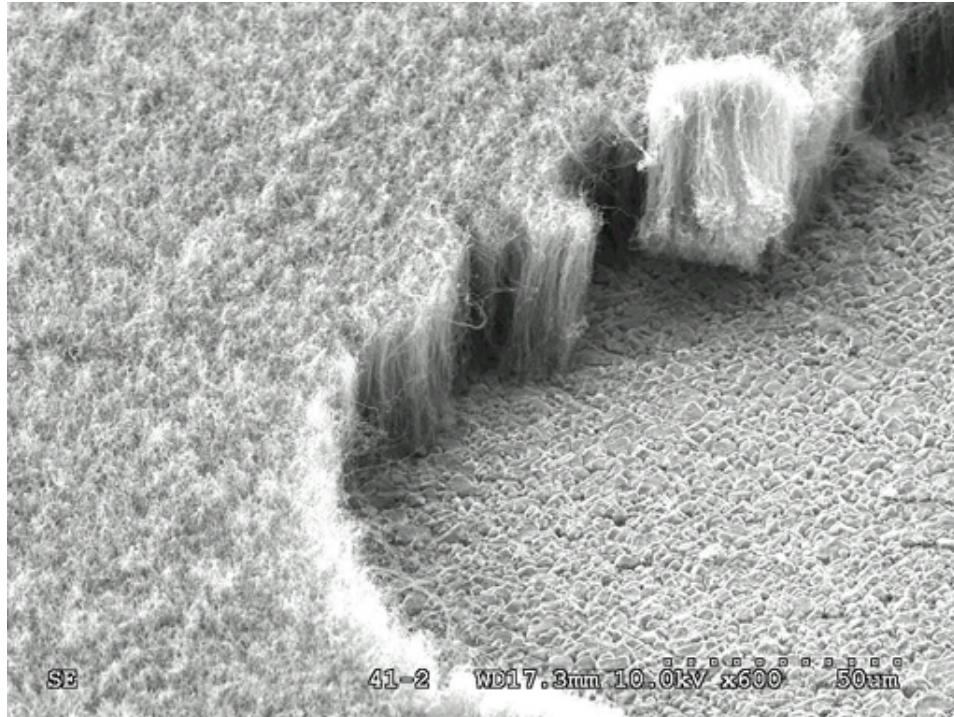
Synthesis of MWCNT in UHV by CVD



Fe catalyst
 $0.2 \div 1 \text{ nm}$
deposited at RT
in UHV conditions

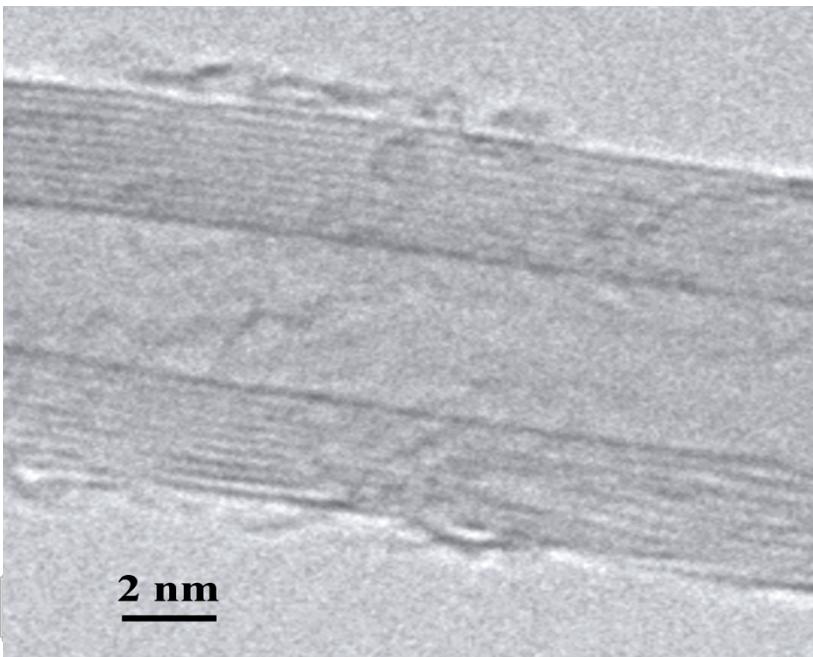
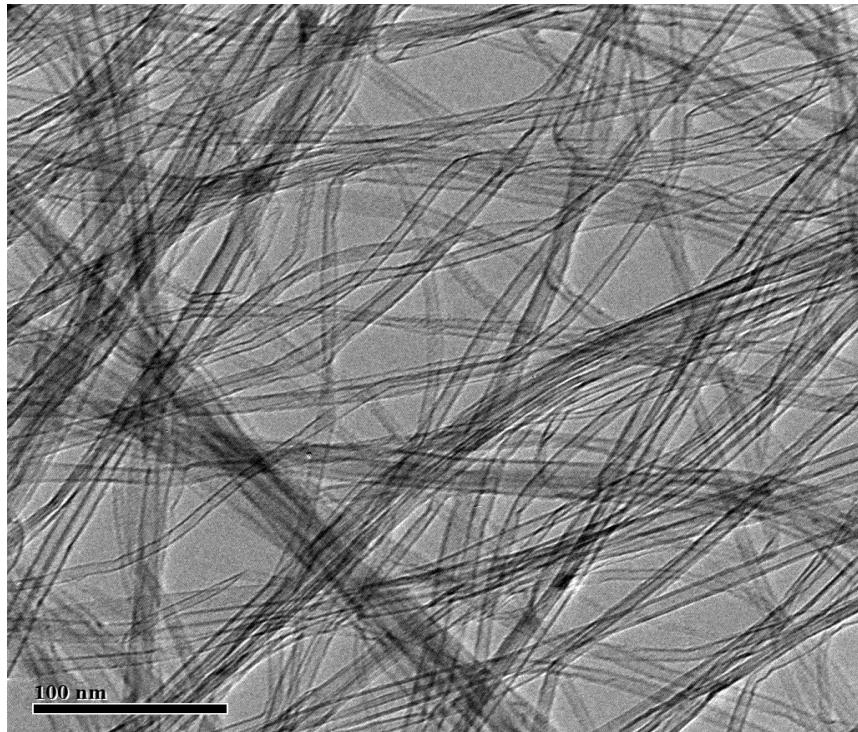
Substrate temperature during growth:
 $T = 650^\circ\text{C} \div 850^\circ\text{C}$

C_2H_2 atmosphere
 C_2H_2 pressure= $10 \div 900 \text{ Torr}$

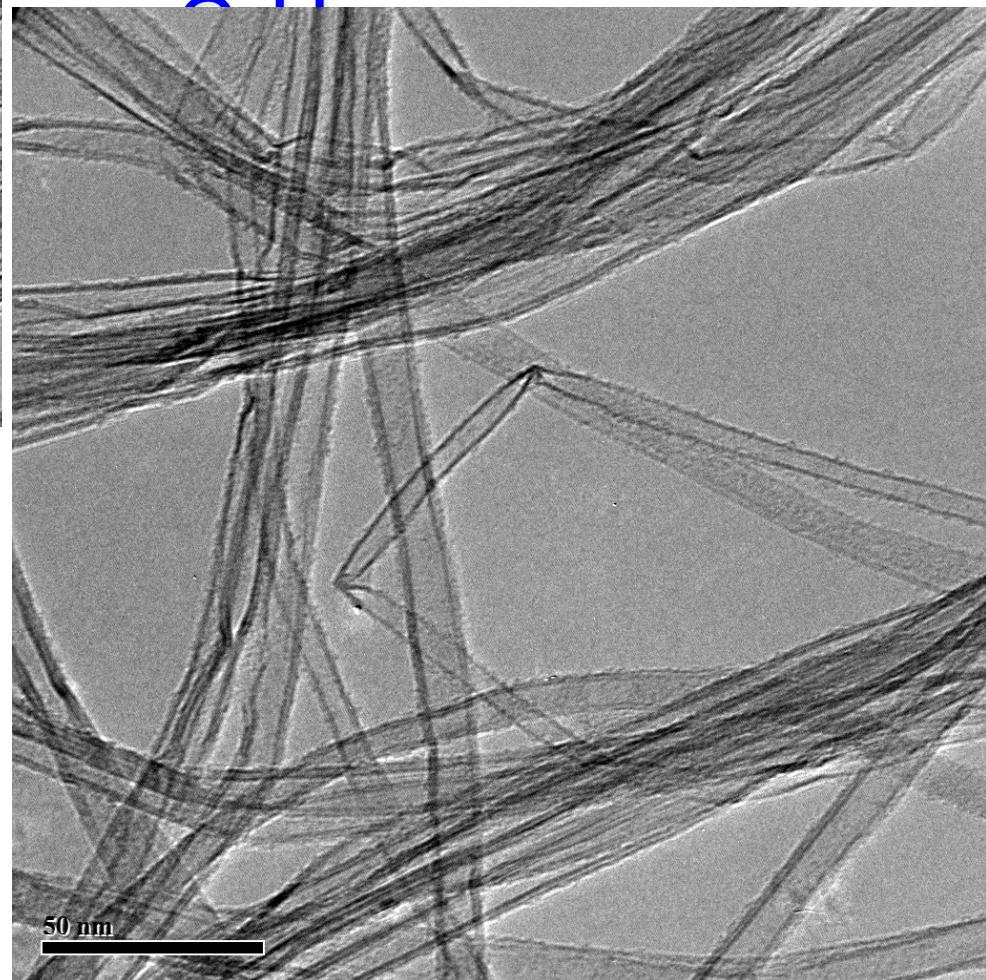


Multiwalled nanotubes (MWNTs)
consist of multiple layers of graphite
rolled in on themselves
to form a tube shape.

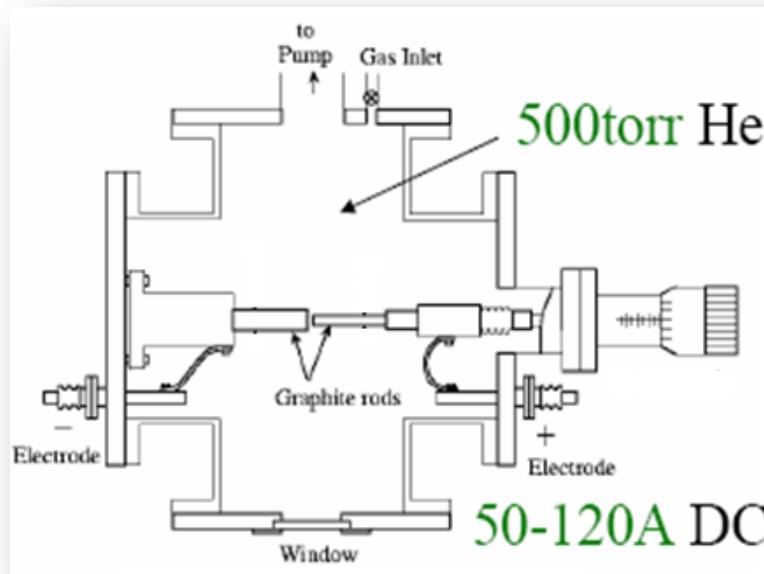




- ✿ Temperature of the substrate
- ✿ Time of exposure

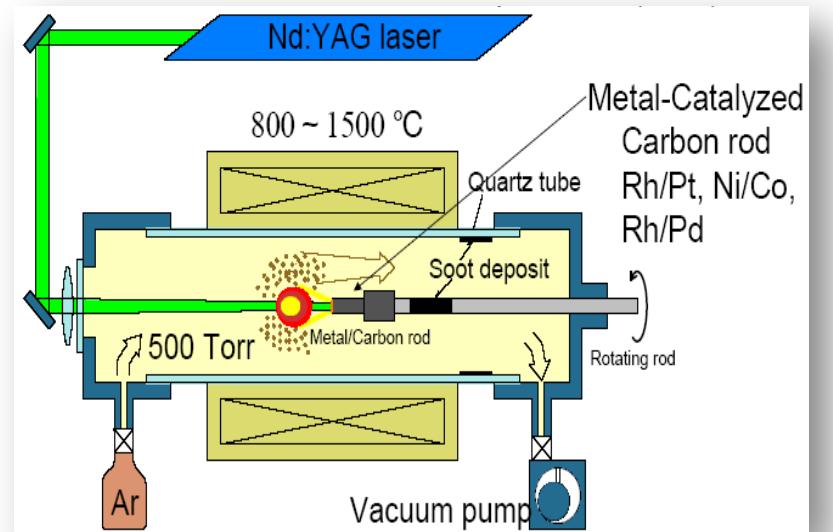


Growth Methods



CVD

S
Y
N
T
H
E
S
I
S

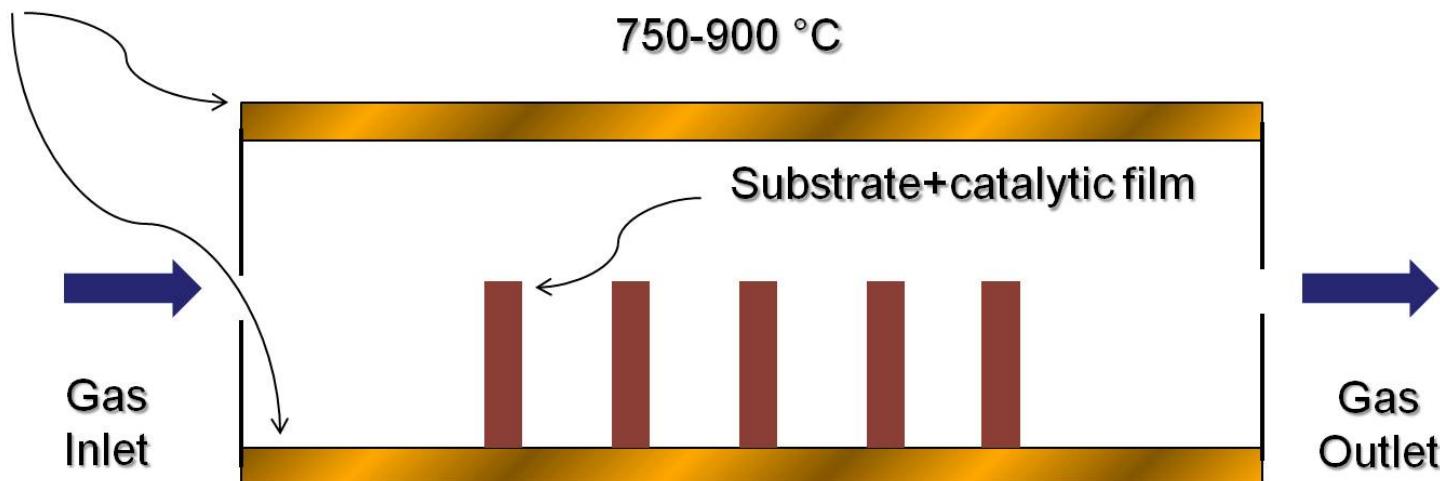


Arc
Discharge

Laser
Ablation

Chemical Vapor Deposition

Quartz Tube



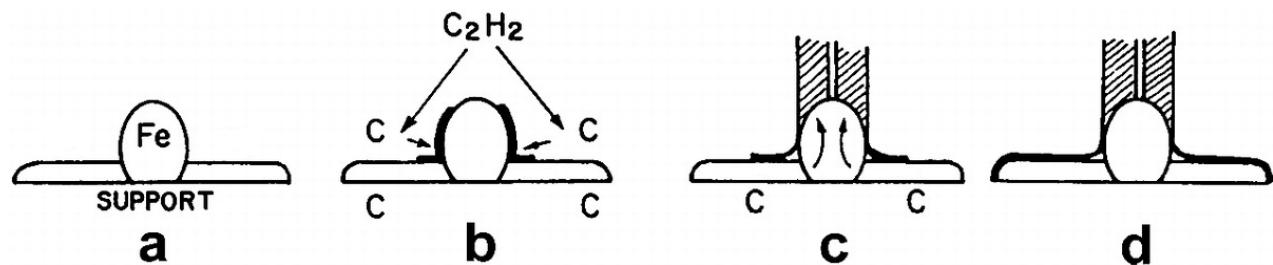
Ar, C₂H₂, C₂H₄, CH₄, CO₂....

Recipe:

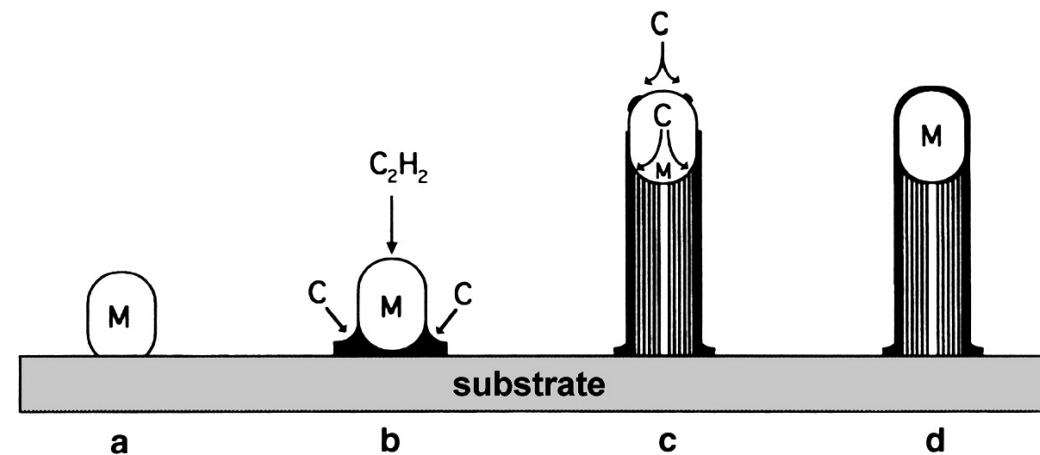
- ❖ **solid substrate**, where the chemical reaction takes place
- ❖ **gas precursor**, acting as carbon source
- ❖ **catalytic material**, favoring the chemical reaction

Growth mechanism

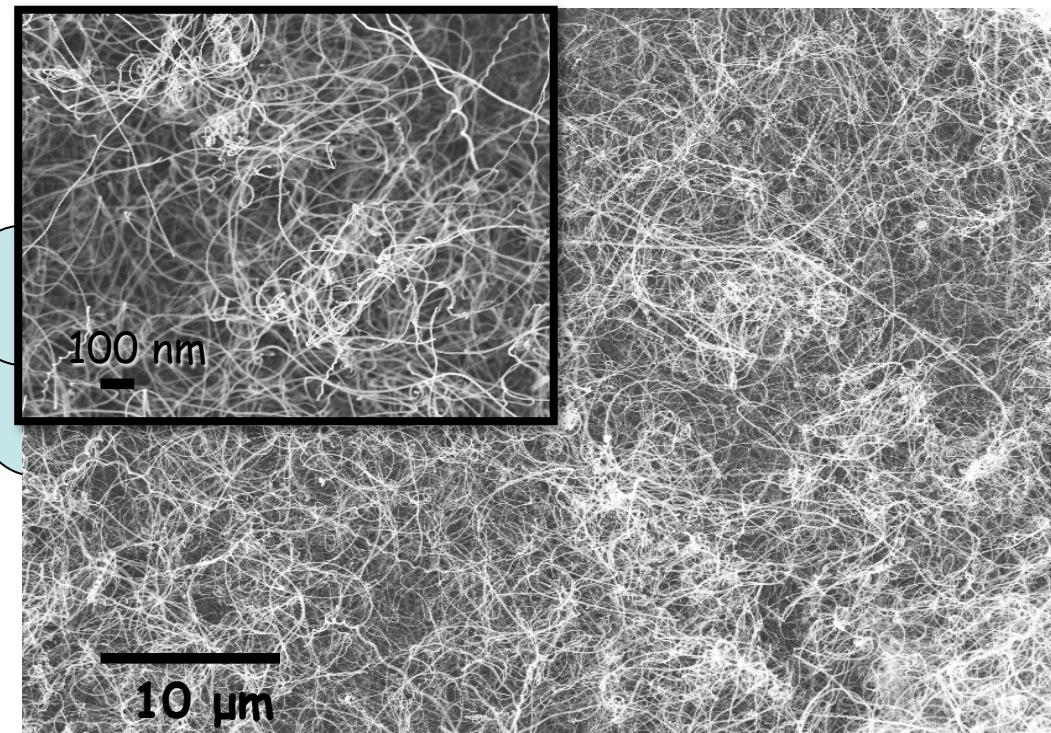
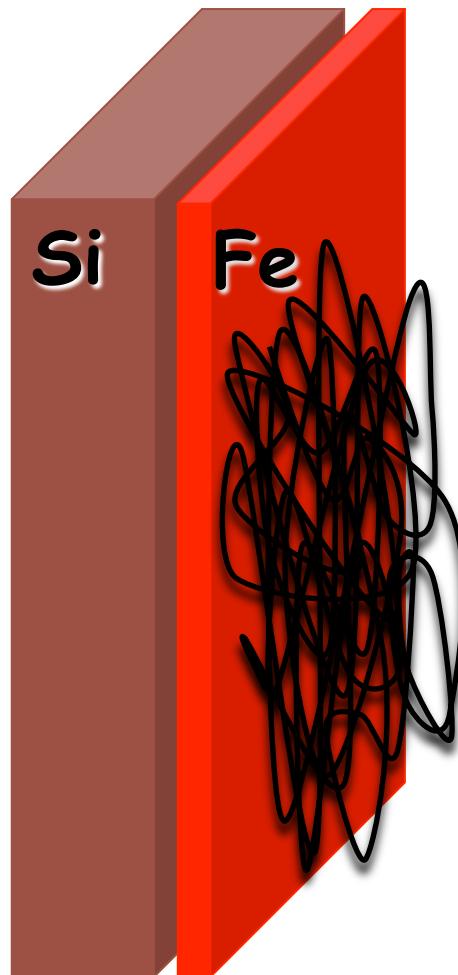
Base-growth



Tip-growth



CVD: the classic method



$P = 10^{-6} - 10^{-7}$ torr

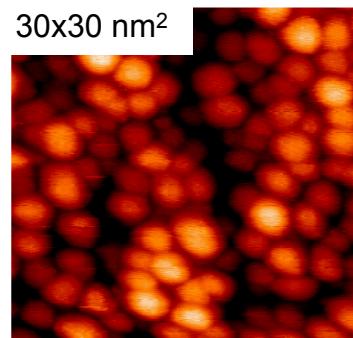
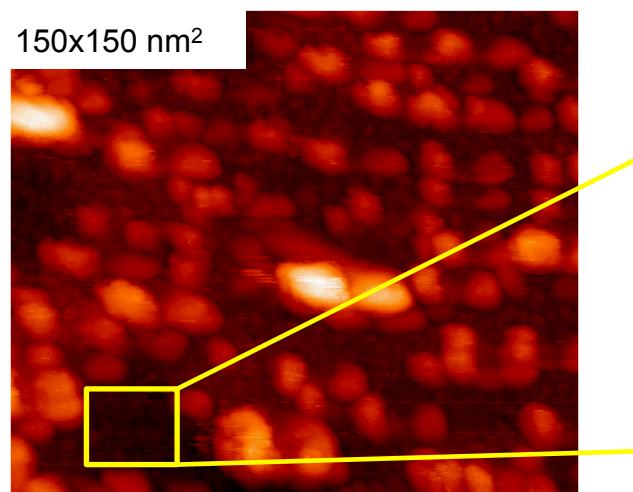


Basket

1 nm

Why does it work?

- Presence of a catalytic film (i.e. Iron)
- Roughness of the catalytic film (i.e. Iron)



1 nm Fe on Si substrate

How much is it?

Crystalline silicon

10.000 €/m² (from Siltronix)

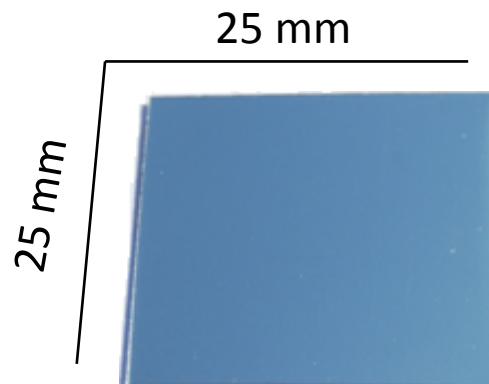
Stainless Steel

100 €/m² (from Goodfellow)



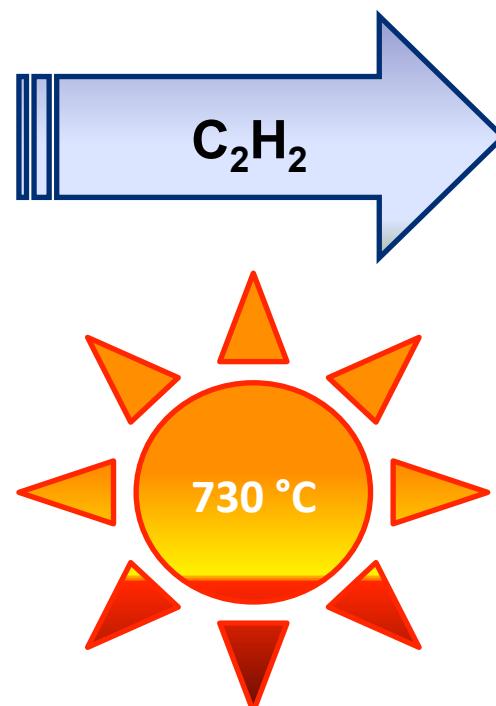
CNT growth

Before...

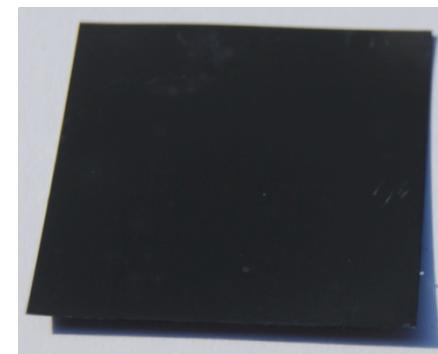


7mg in 10'
Huge production

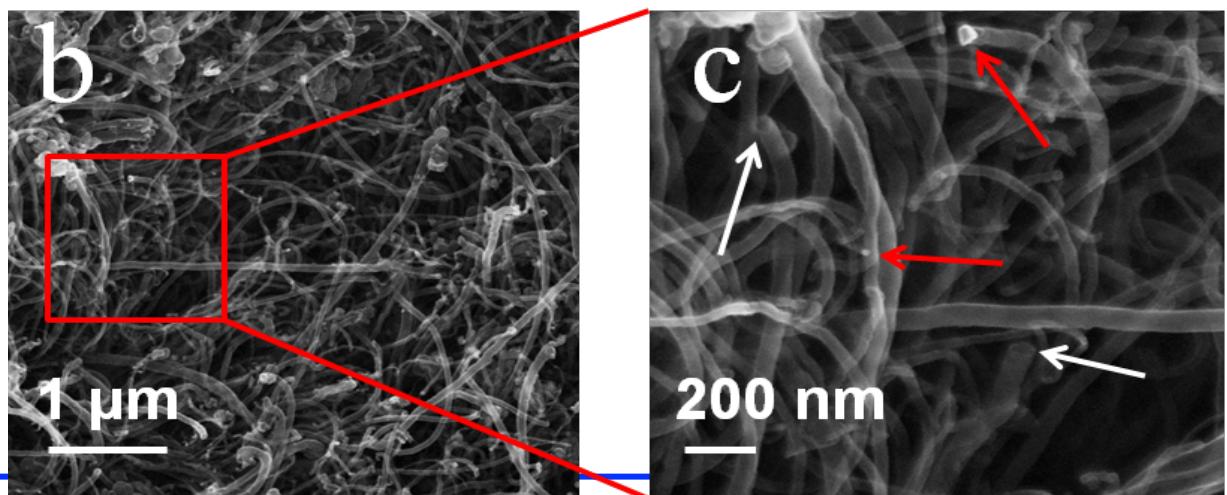
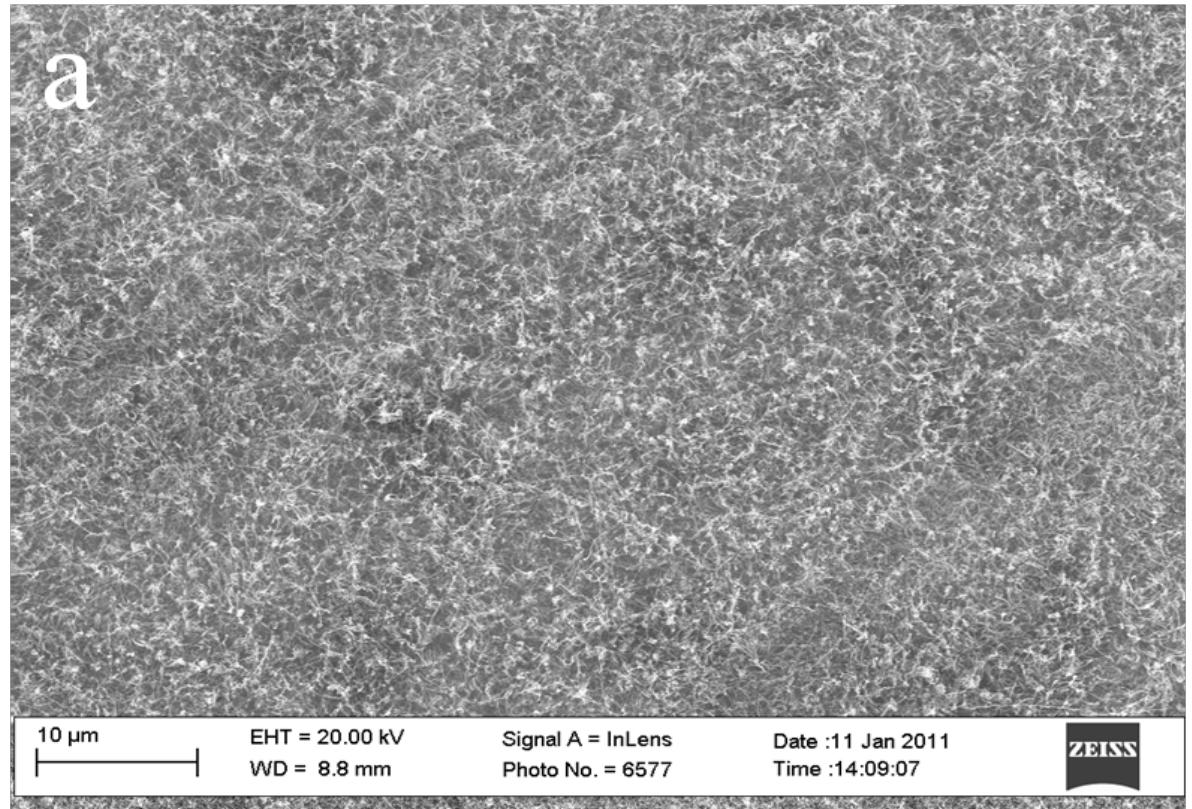
CVD



After

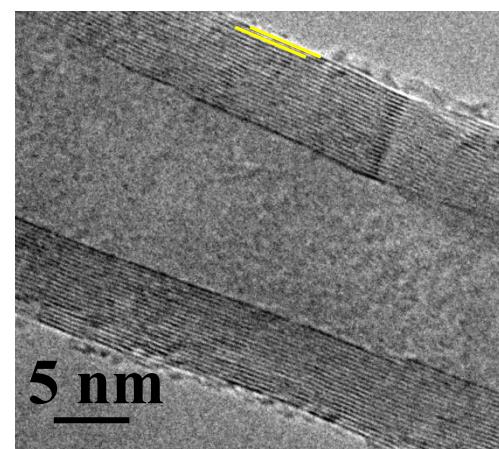
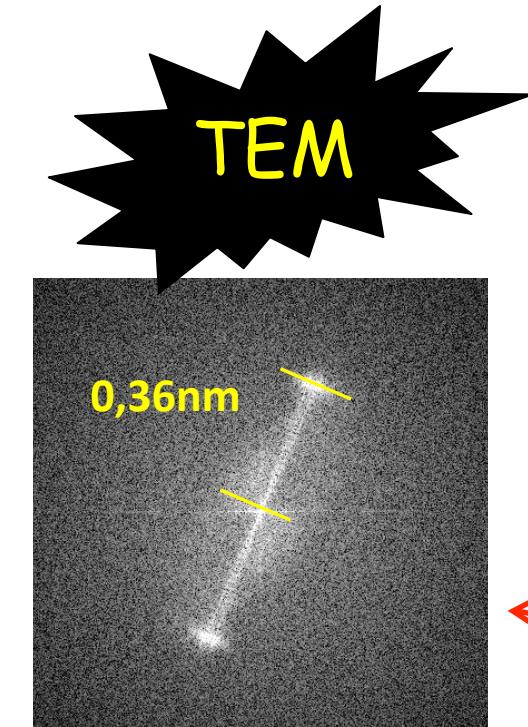
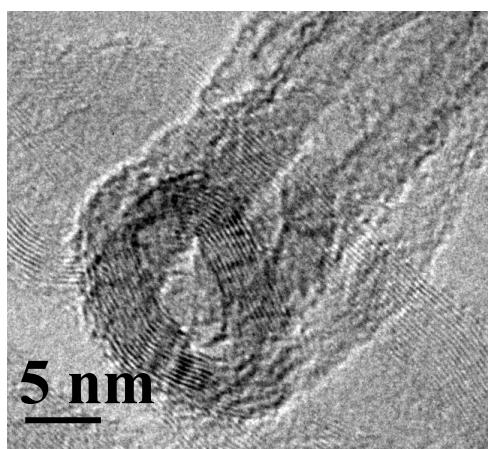
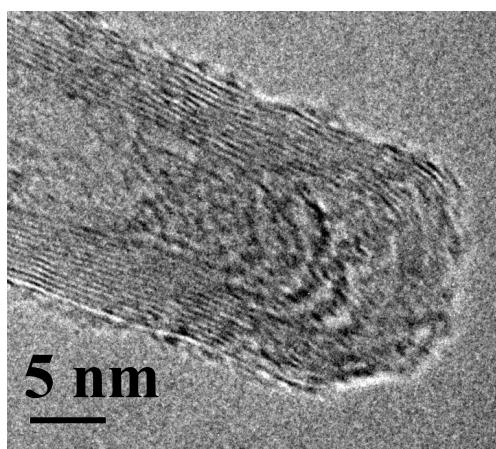
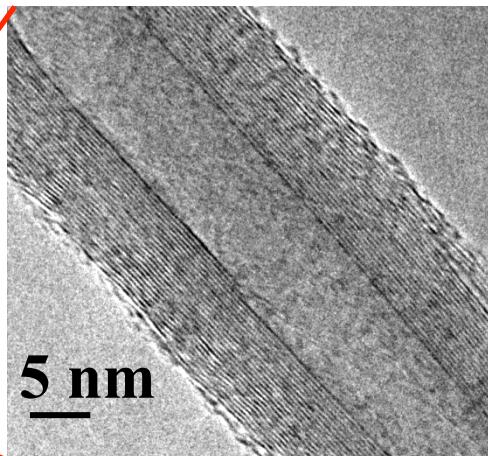
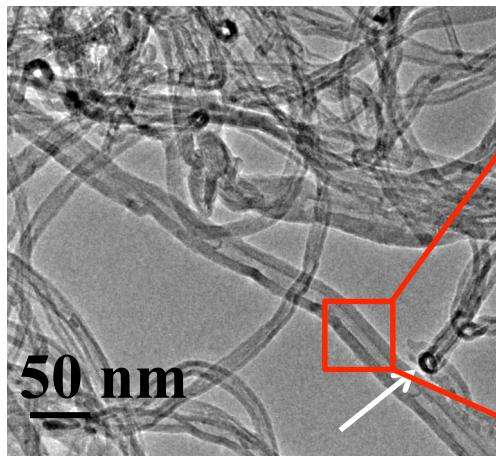


...the quality?

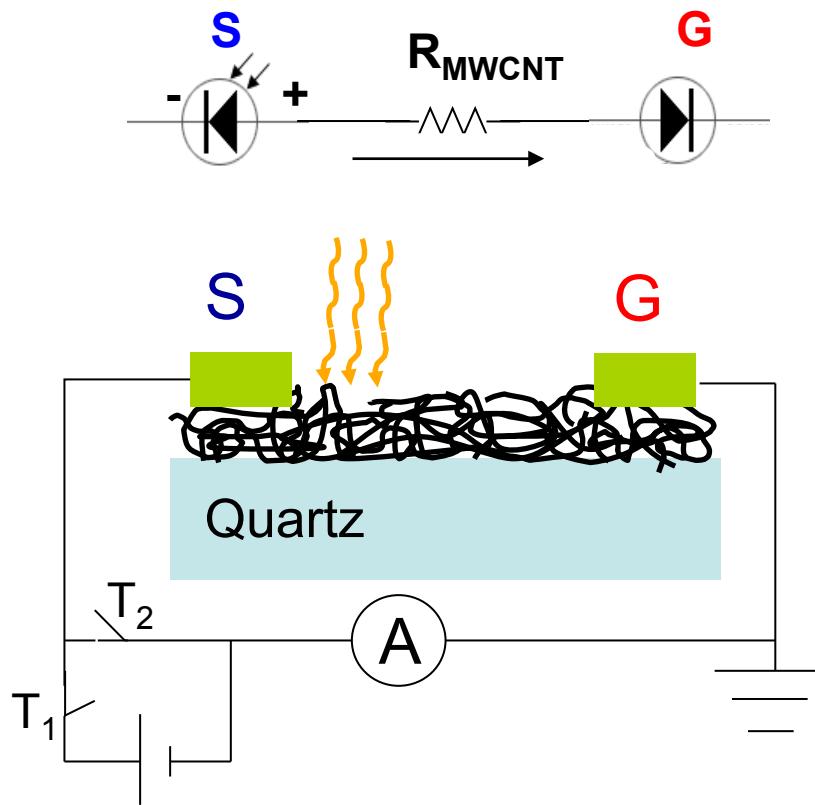


...the quality?

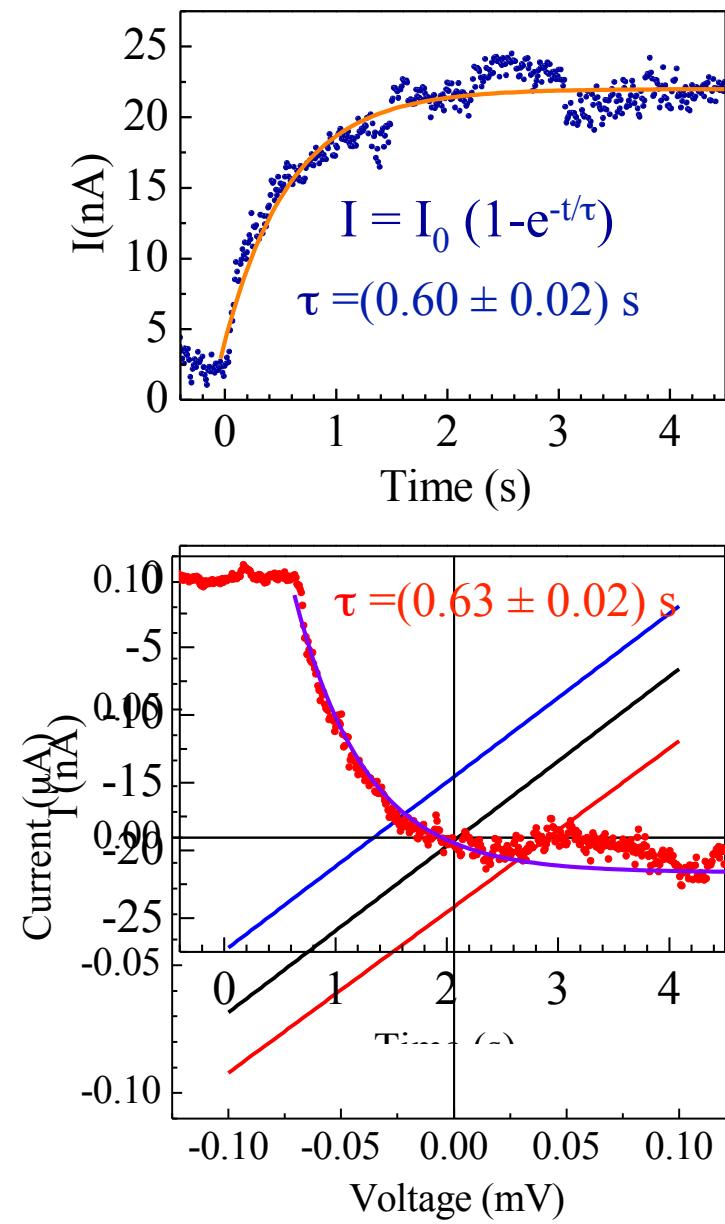
23 walls

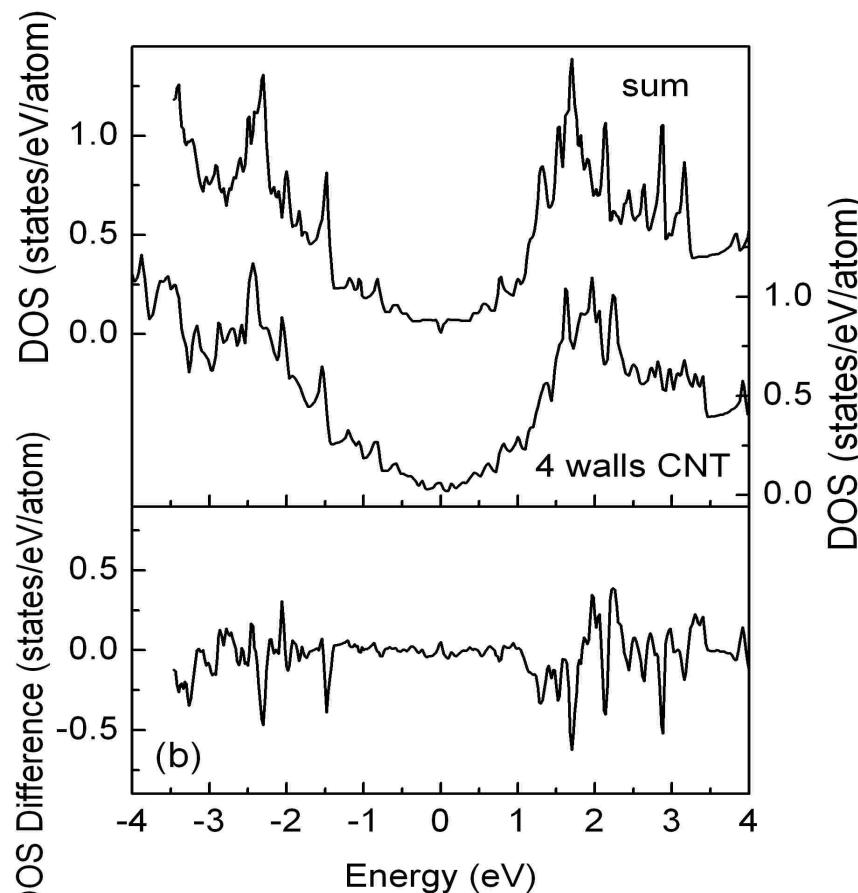
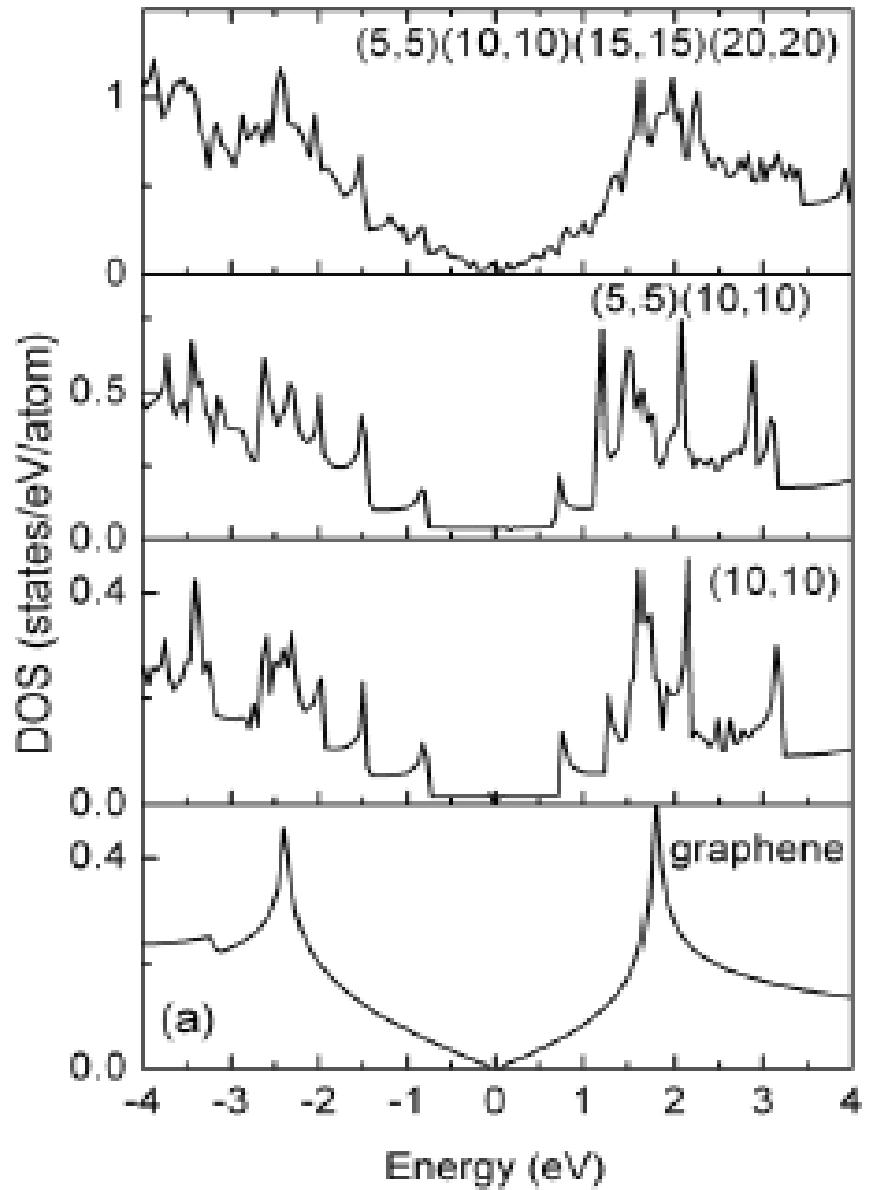


Which device to fabricate?



- ❖ MWCNTs generate e-h pairs upon illumination
- ❖ Two Schottky junctions opposite each other form between CNTs and metal electrode separate e-h pairs and drive separated carriers.
- ❖ Carriers moves through a diffusion mechanism
- ❖ Ohmic character of MWCNTs





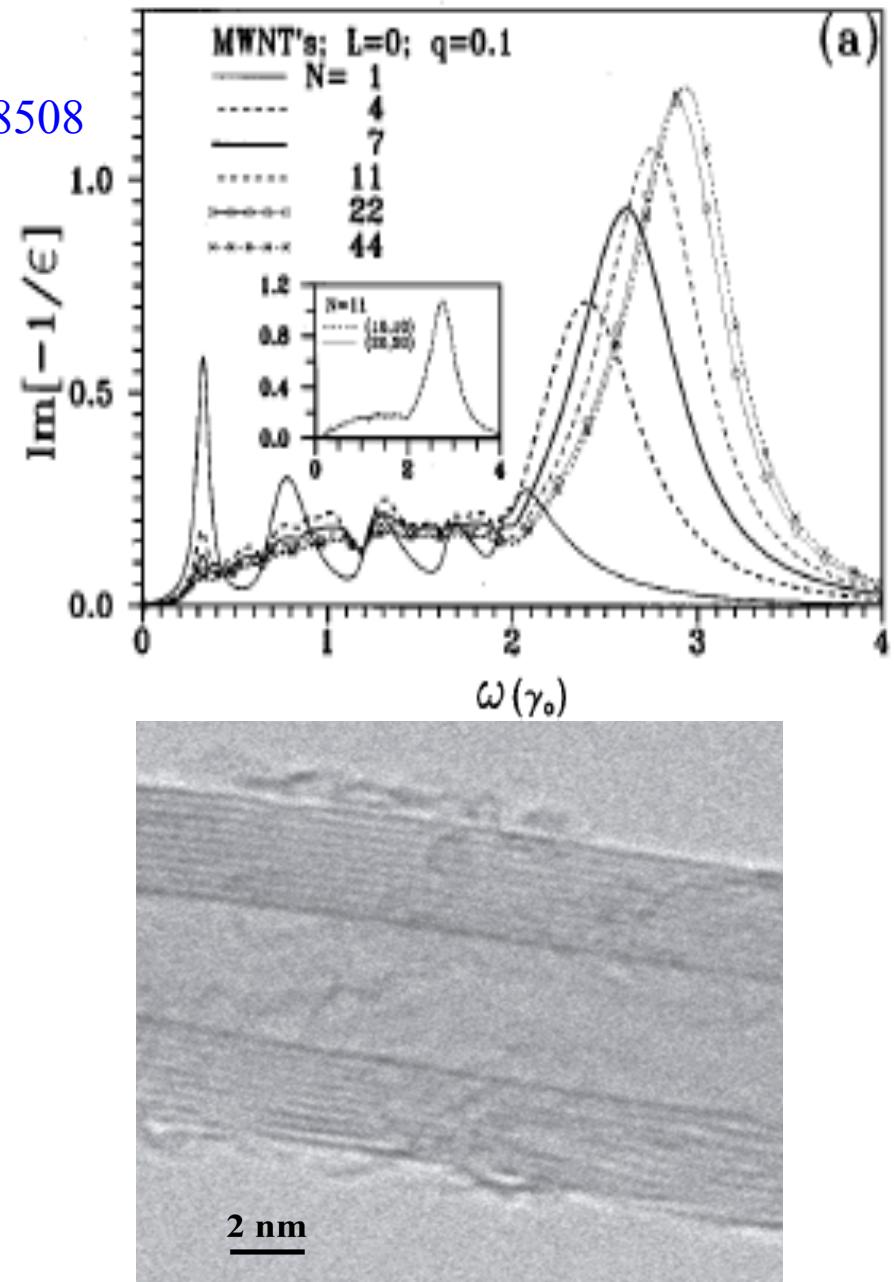
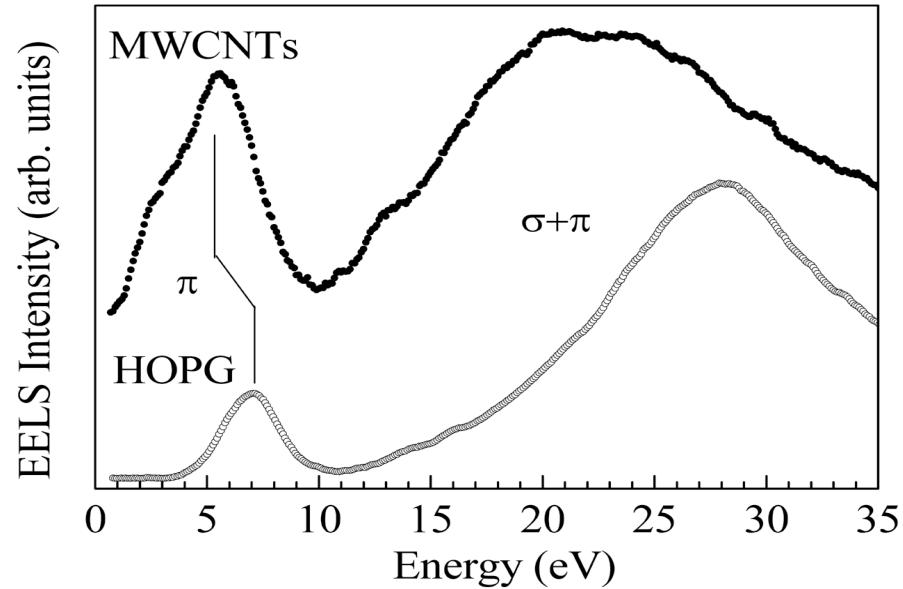
Computation by A.Continenza, L' Aquila University (Italy)
P.Castrucci, M.DeCrescenzi et al., Nanotechnology 22, 115701 (2011)

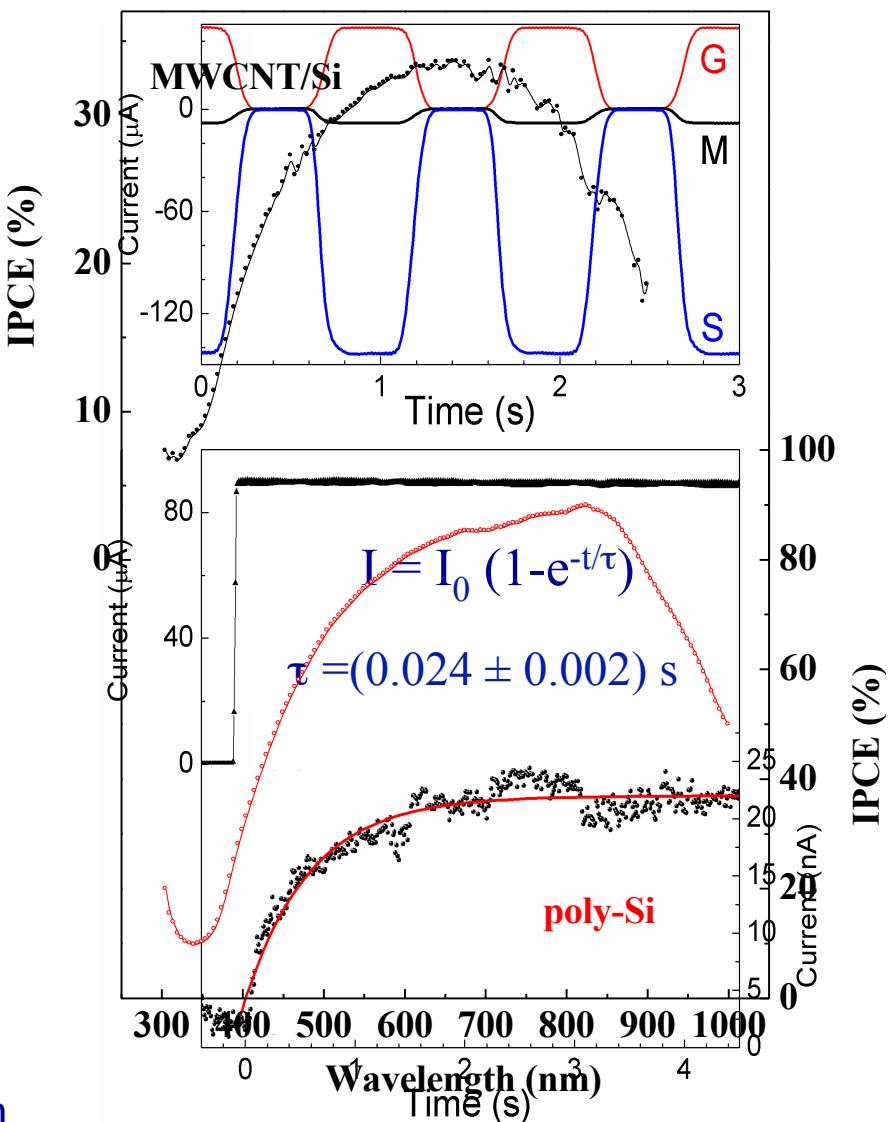
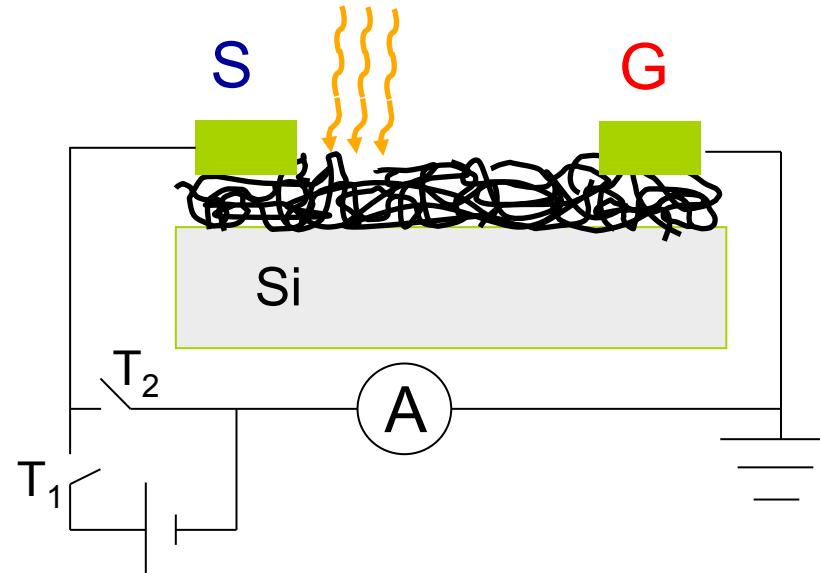
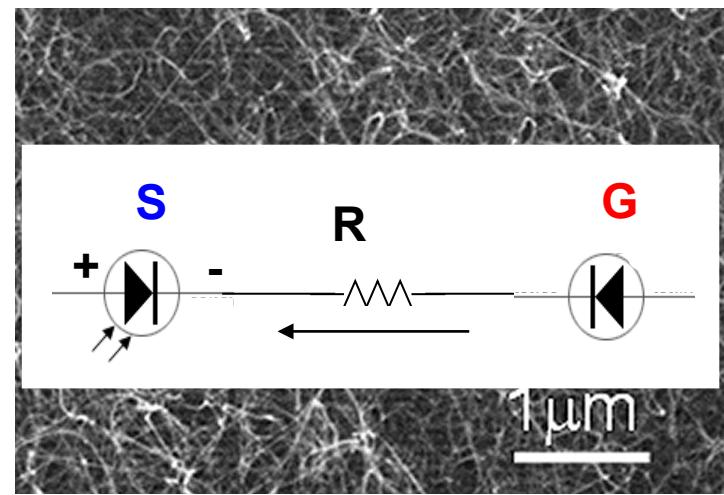


F. L. Shyu, M. F. Lin, P.R.B 62 (2000) 8508

The quantum confinement of the charged carriers within the nanotubes

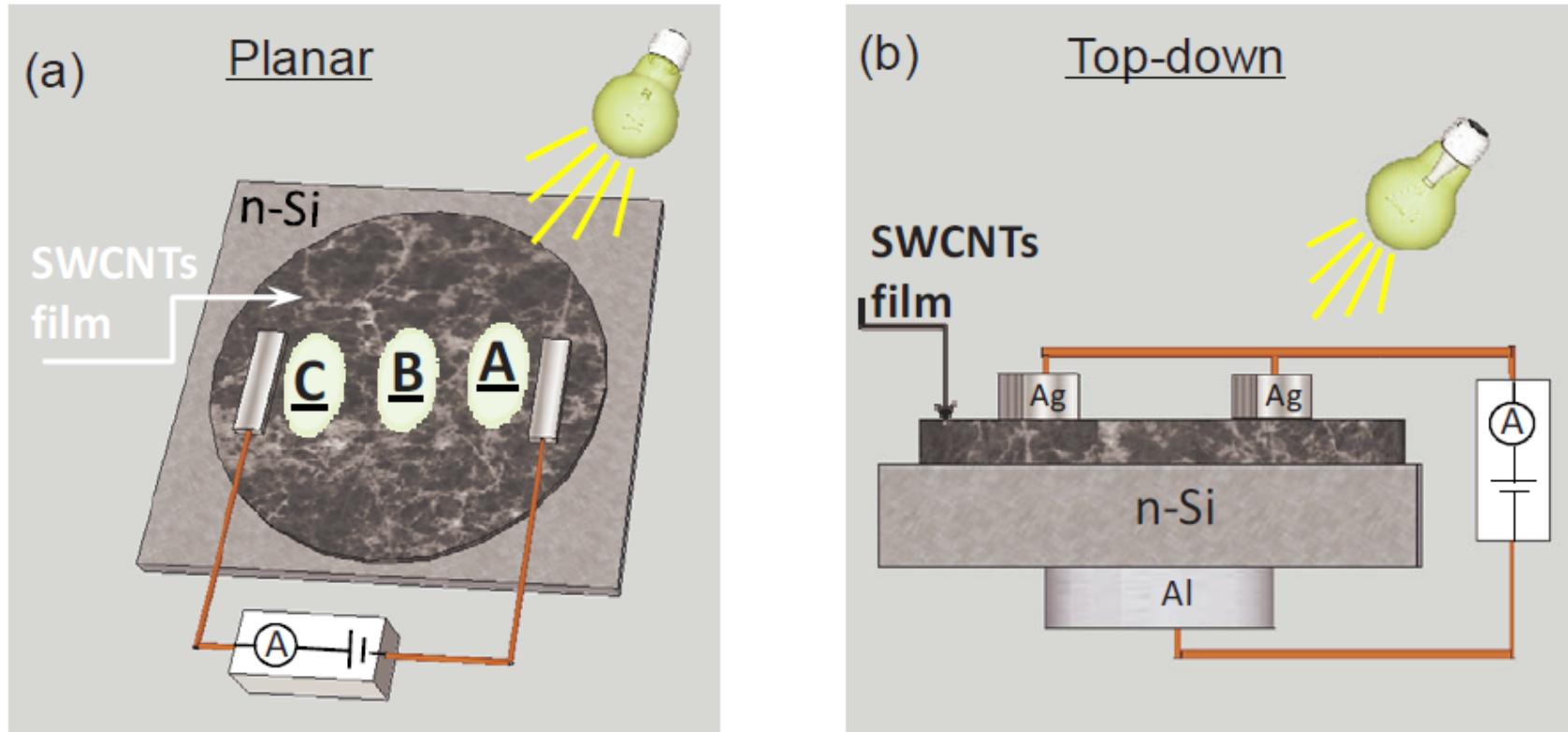
- highly localized states in the infrared and visible energy region
- strongly-bound excitons which modify the electronic behaviour
- interband excitation channels.
This finding was theoretically predicted and evidenced by energy loss measurements.





- ❖ MWCNTs generate e-h pairs upon illumination
- ❖ Si takes part to the e-h generation process, to the charge carriers separation and transport
- ❖ The system shows a photovoltaic character, with an IPCE of about 34%

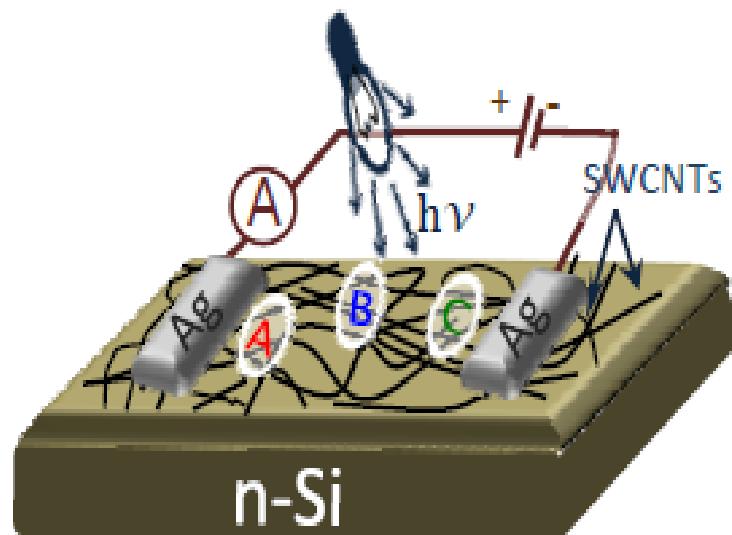
P.Castrucci, M. DeCrescenzi, A.Continenza et al., Nanotechnology 22, 115701 (2011)



Schematics of both (a) planar and (b) top-down configurations of the SWCNTs/n-silicon hybrid devices. A, B and C correspond to the different light spot locations (ground electrode, center and signal electrode) investigated on the planar device surface.

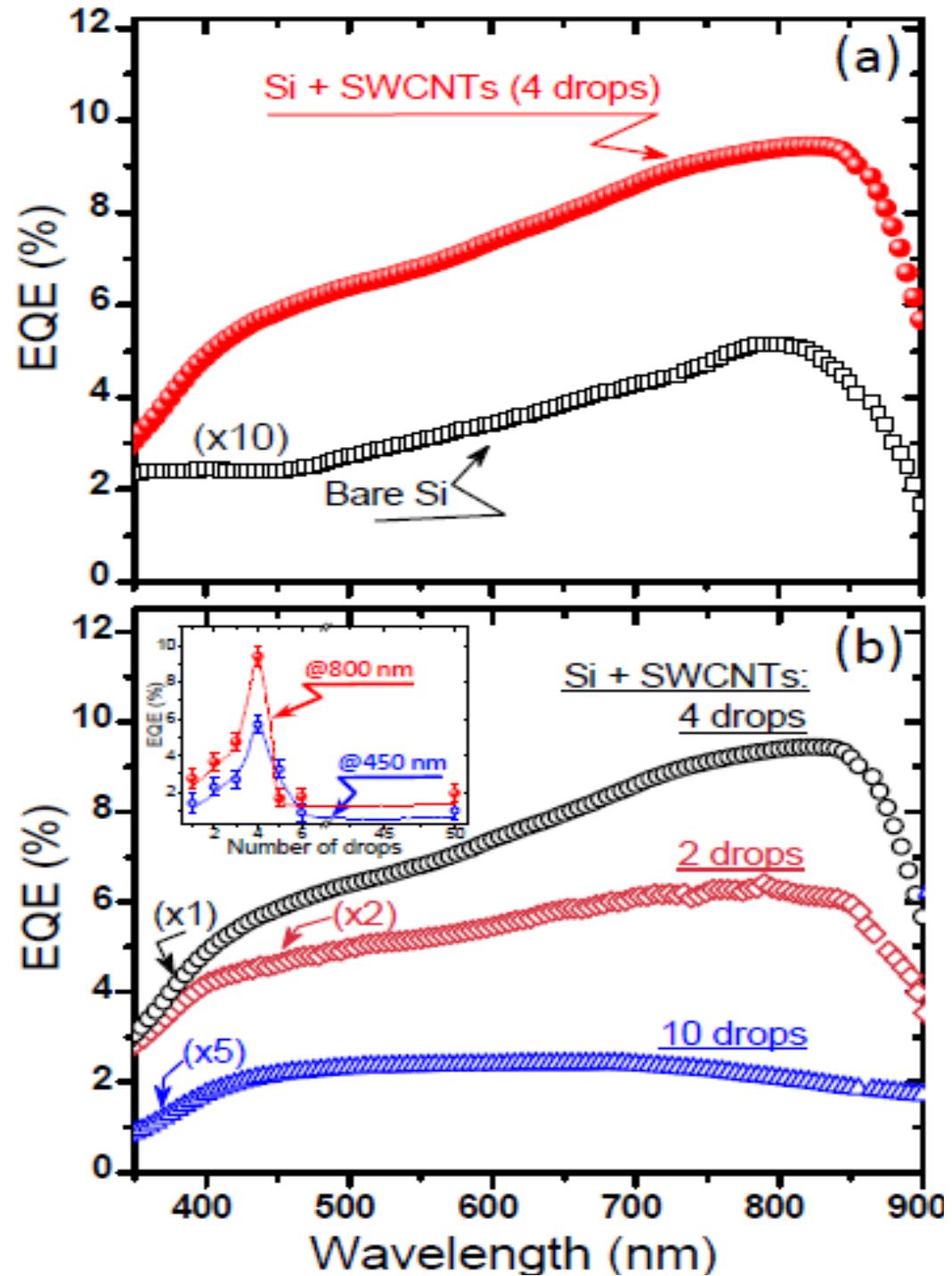


Planar geometry



$$EQE(\lambda) = 100 h c I(\lambda) / e \lambda P(\lambda)$$

External Quantum Efficiency



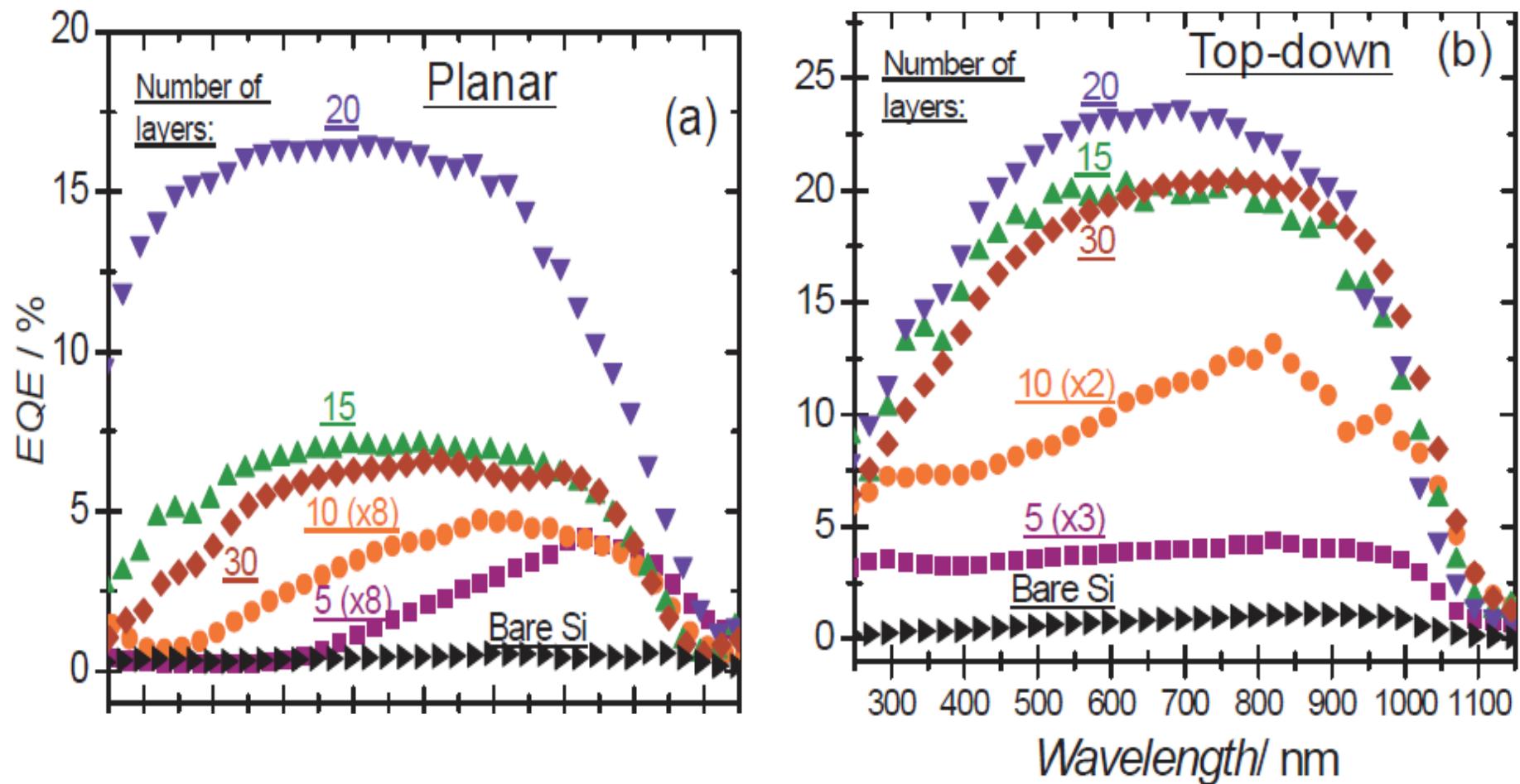
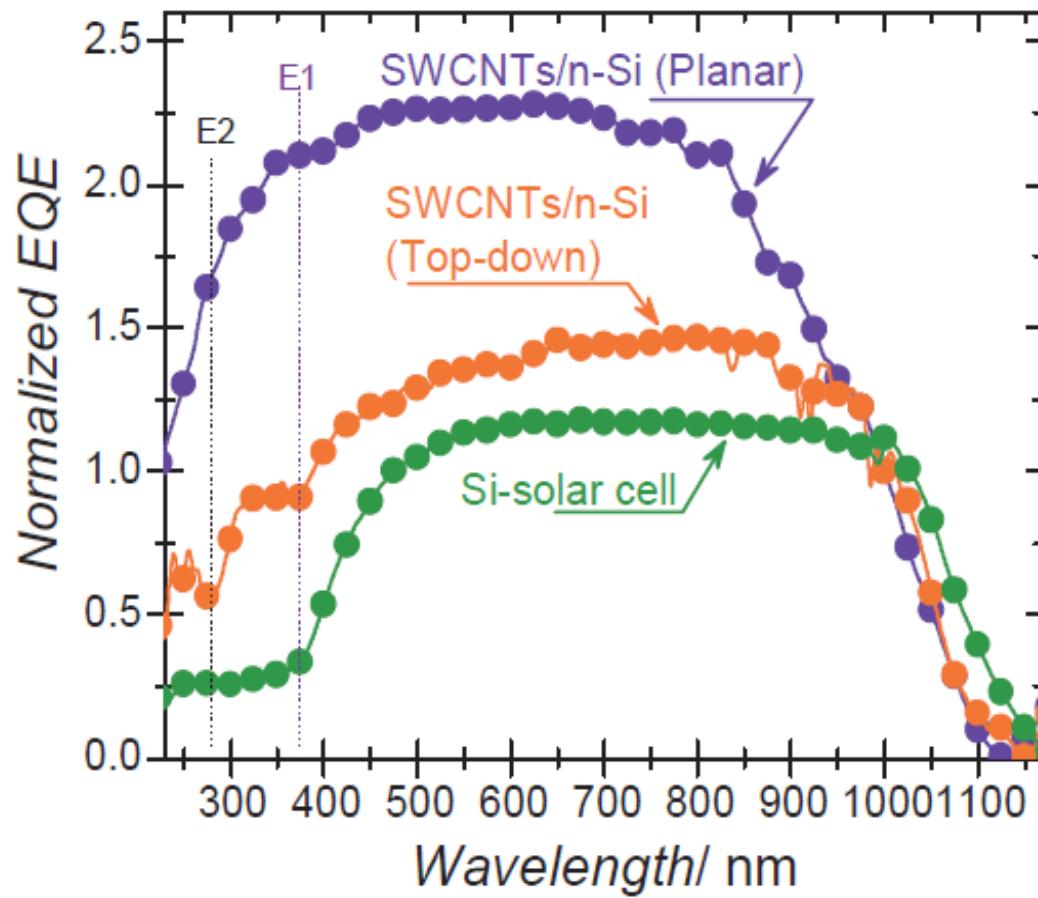
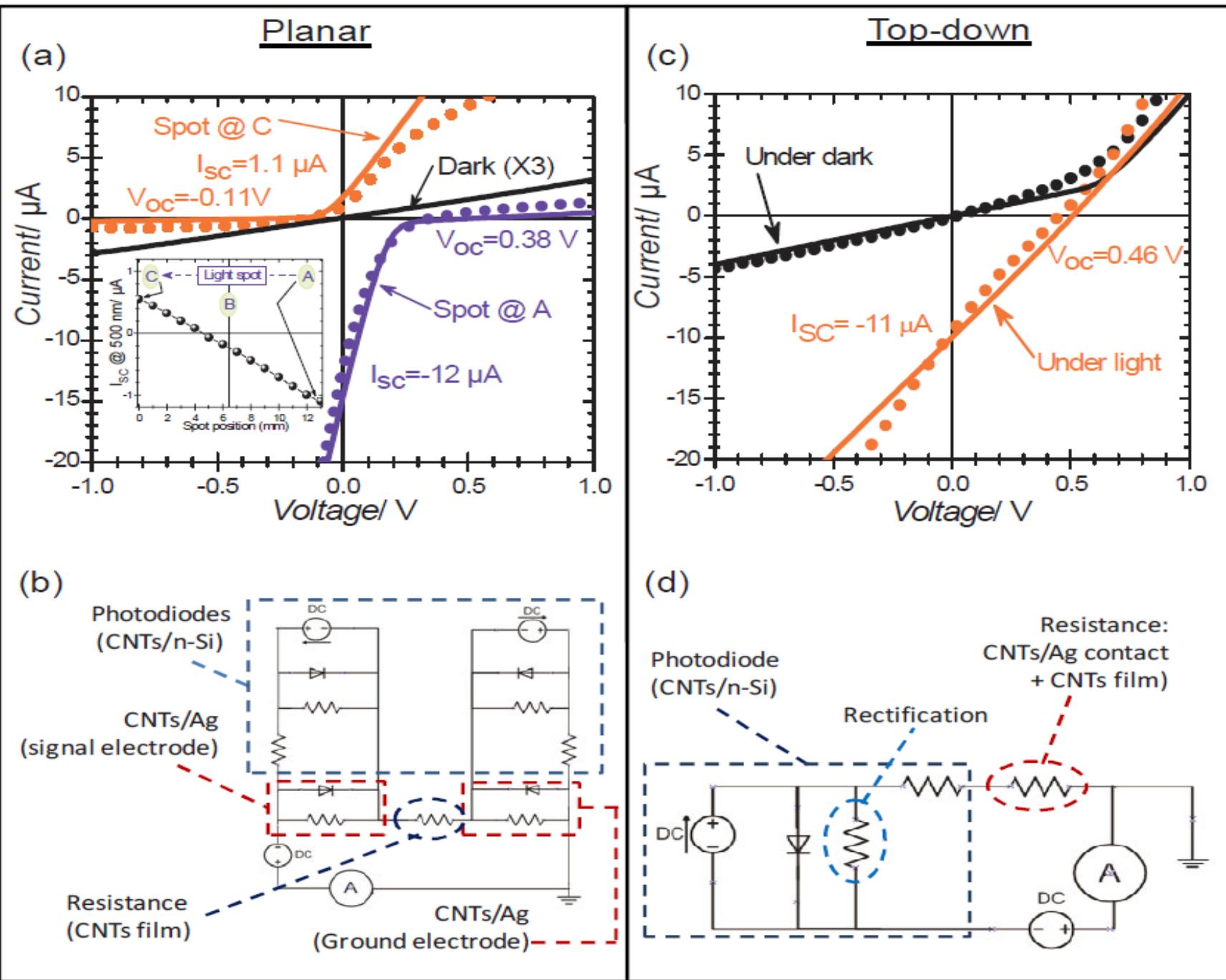


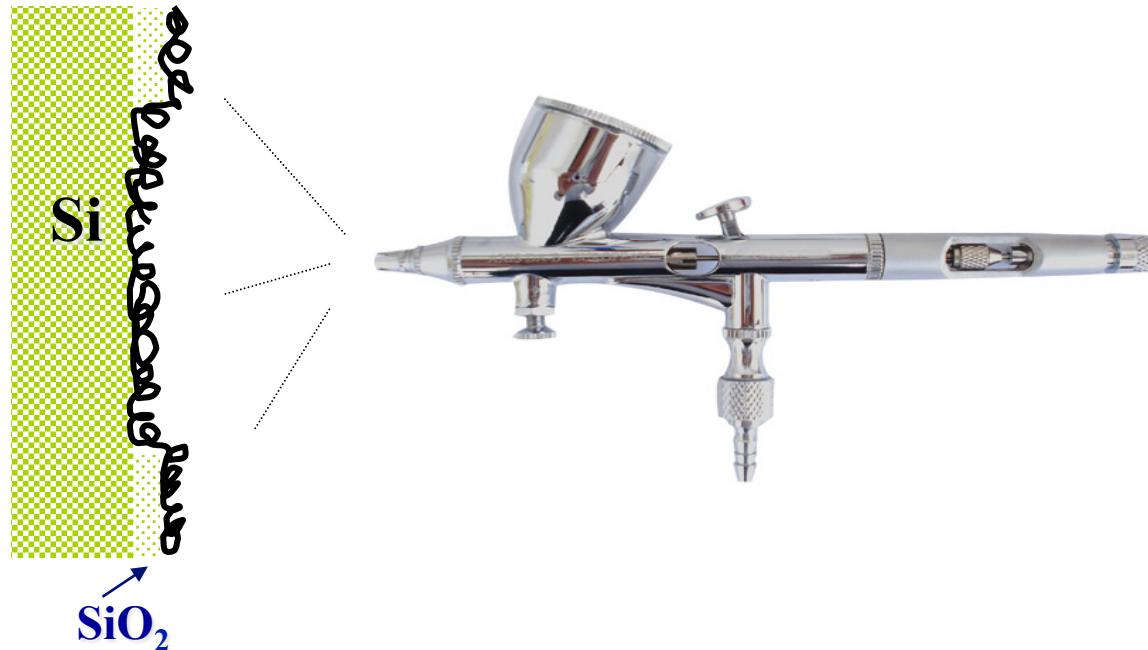
Figure 4. EQE spectra of the SWCNTs/n-Si devices as a function of the number of spin-coated layers of SWCNTs for both (a) planar and (b) top-down configurations.



Comparison of normalized EQE spectra of both planar and top-down SWCNTs/n-Si PV devices (fabricated with 20 spin-coated layers of SWCNTs) with that of standard polycrystalline silicon solar cell. The EQE spectra were normalized to their respective EQE value at 1000 nm.





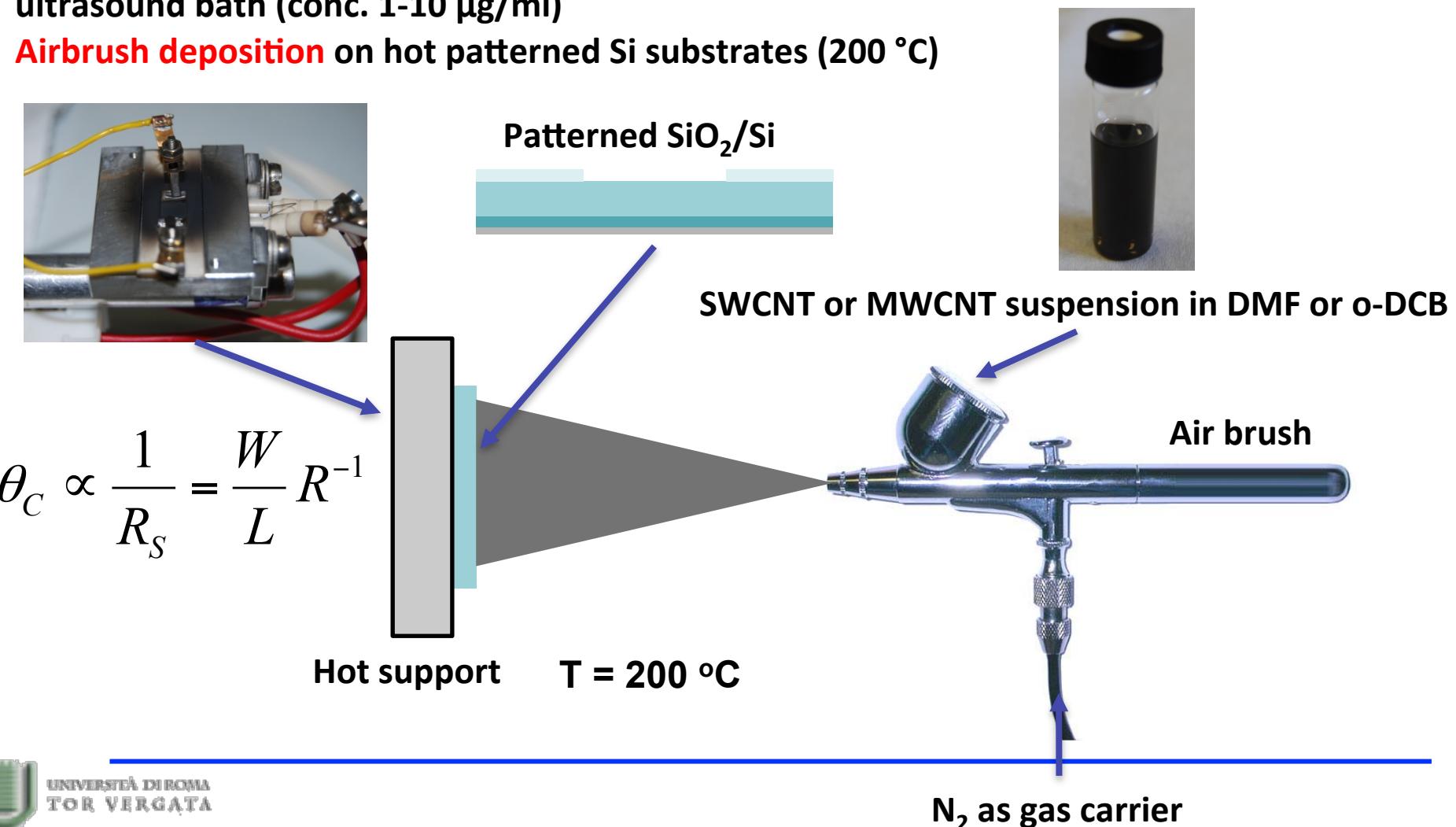


- ❖ Sonication of MWCNTs/Si in 1,2 diclorobenzene
- ❖ Selective etching of a 300 nm SiO₂/Si substrate
- ❖ By airbrushing: MWCNTs dispersion on a SiO₂ etched substrate and quartz substrate
- ❖ Optical absorption measurements
- ❖ Ag electrodes deposition



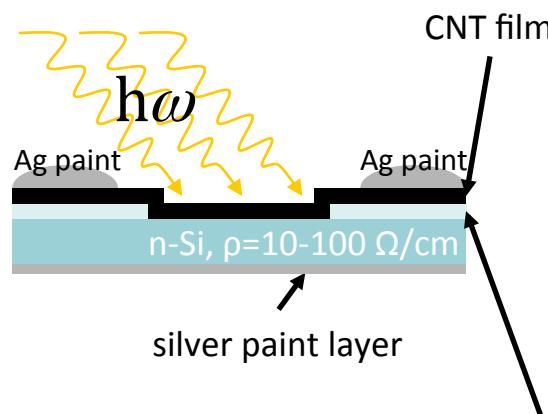
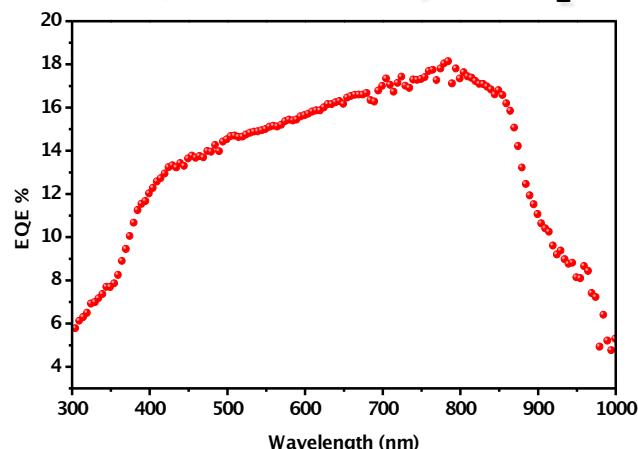
MWCNT/SWCNT deposition

Dispersion in N,N-Dimethylformamide (DMF) or o-dichlorobenzene (o-DCB) 1h in ultrasound bath (conc. 1-10 µg/ml)
Airbrush deposition on hot patterned Si substrates (200 °C)

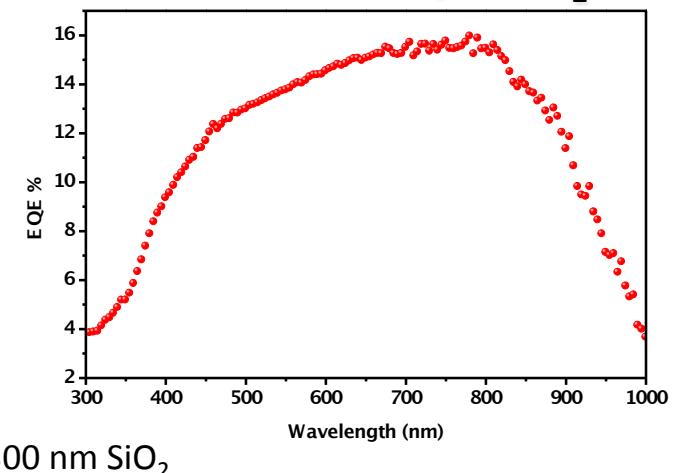


MWCNT and SWCNT on n-Si

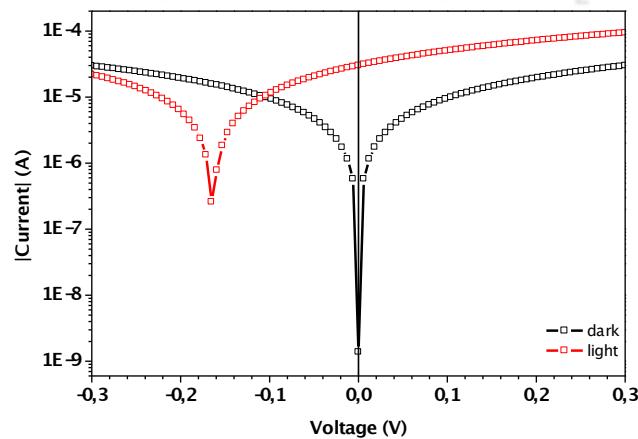
EQE of MWCNT/Si-SiO₂



EQE of SWCNT/Si-SiO₂



I/V of MWCNT/Si-SiO₂

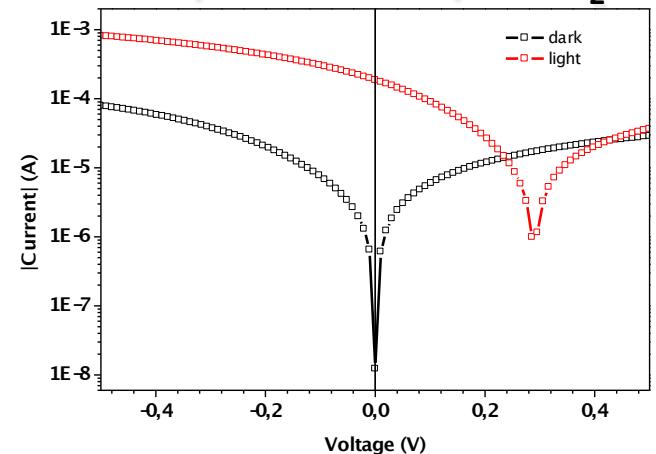


$$I_{SC} \approx 10^{-3} \text{ mA}$$

$$V_{OC} = 200-500 \text{ mV}$$

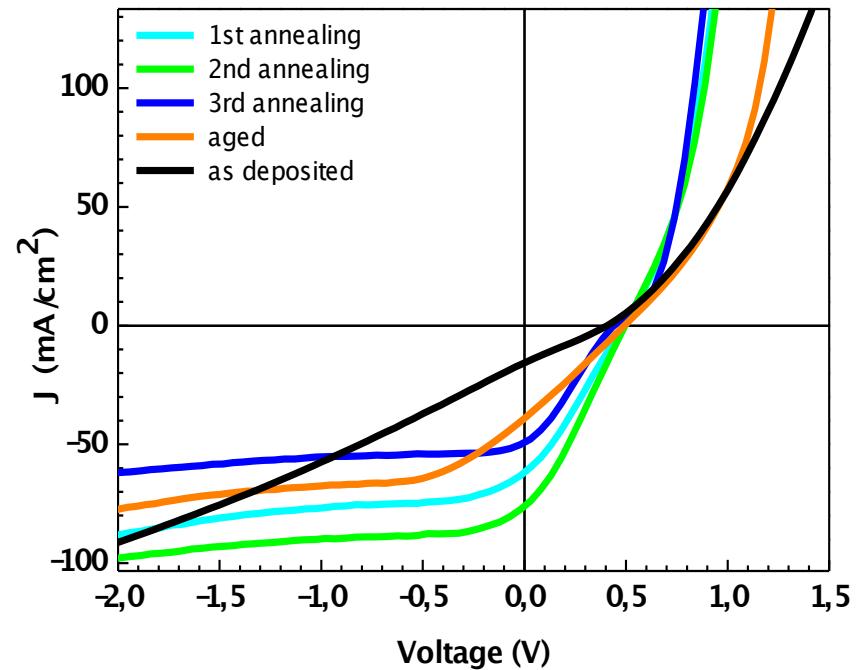
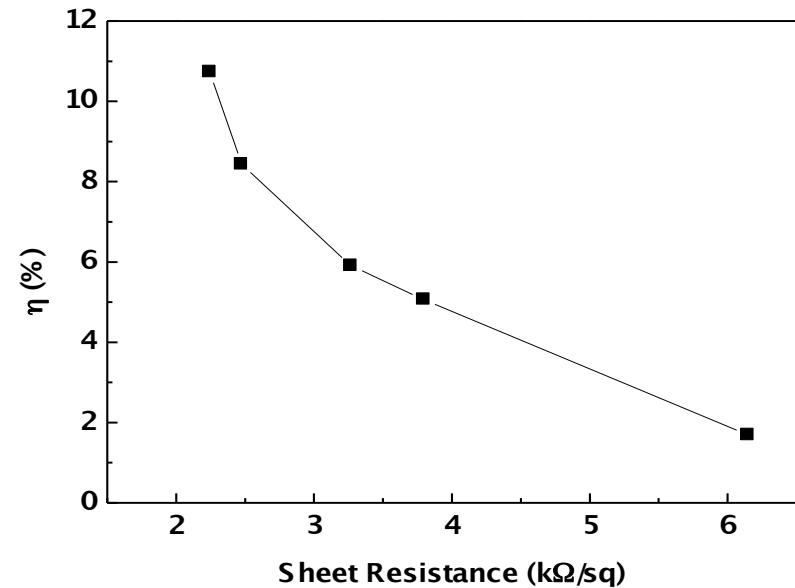
$$EQE \approx 20 - 70\%$$

I/V of SWCNT/Si-SiO₂

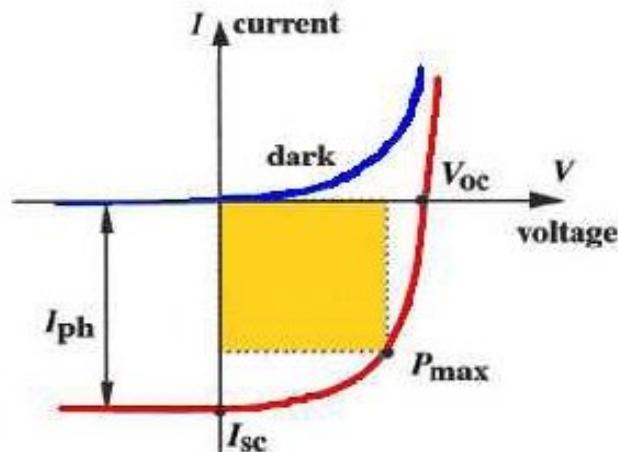


Efficiency of CNTs Solar cells

	R (kΩ)	R _{sheet} (kΩ)	FF	η (%)
As deposited	3.725	6.15	0.26	1.7
Aged (15 gg)	2.3	3.8	0.26	5.06
1 st Ann. @ T=280°C	1.5	2.5	0.28	8.43
2 nd Ann. @ T=280°C	1.36	2.2	0.29	10.73
3 rd Ann. @ T=280°C	1.98	3.3	0.27	5.90



I-V measurements



$$FF = \frac{I_{PM} * V_{PM}}{I_{SC} * V_{OC}}$$

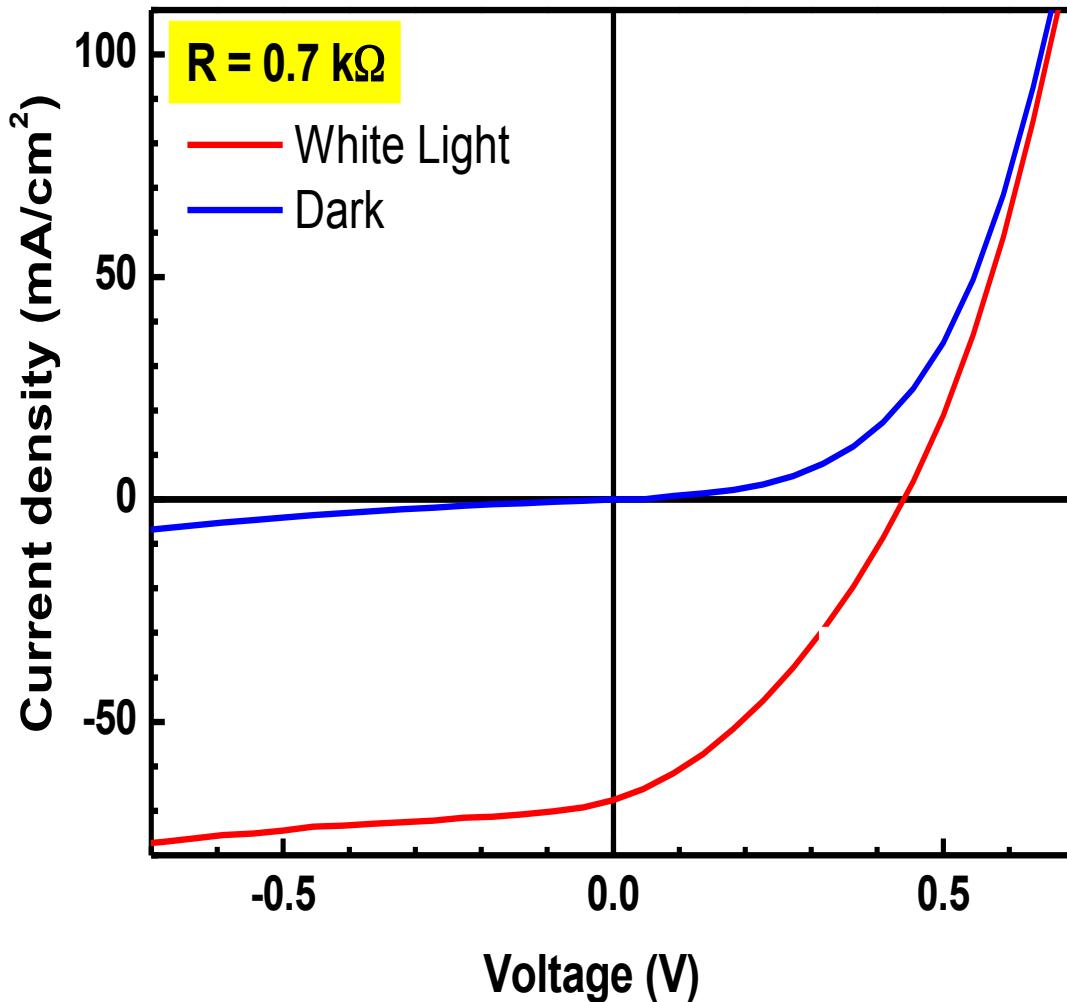
It is a measure
of the cell quality

$$\eta = \frac{I_{SC} * V_{OC} * FF}{P_{light}} \%$$

It is a measure
of the efficiency

The maximum of I_{SC} occurs by using a thickness which maximises the number of photons that reach the silicon and the number of Schottky junctions which minimise the Resistance.



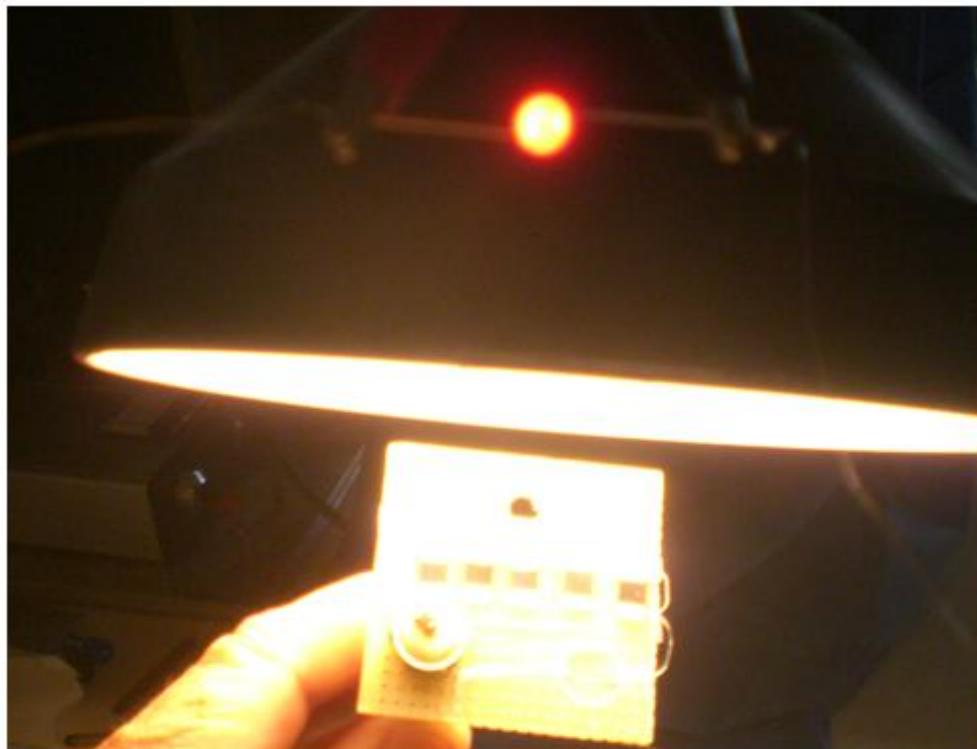


At the sunlight ($h = 12:00 \text{ a.m.}$)
estimated incident power,
 $P_{\text{in}} = 100 \text{ mW}/\text{cm}^2$
 $V_{\text{oc}} = 0.44 \text{ V}, J_{\text{sc}} = 5.2 \text{ mA}/\text{cm}^2$

Under Xe lamp white light,
 $P_{\text{in}} = 80 \text{ mW}/\text{cm}^2$:
 $V_{\text{oc}} = 0.44 \text{ V}, J_{\text{sc}} = 43.7 \text{ mA}/\text{cm}^2$

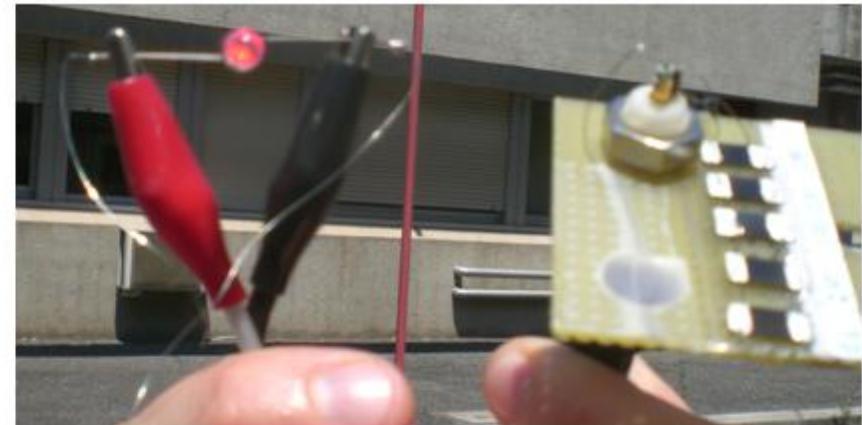
FF = 36%
Efficiency 1.5%





Sun illumination

Red LED

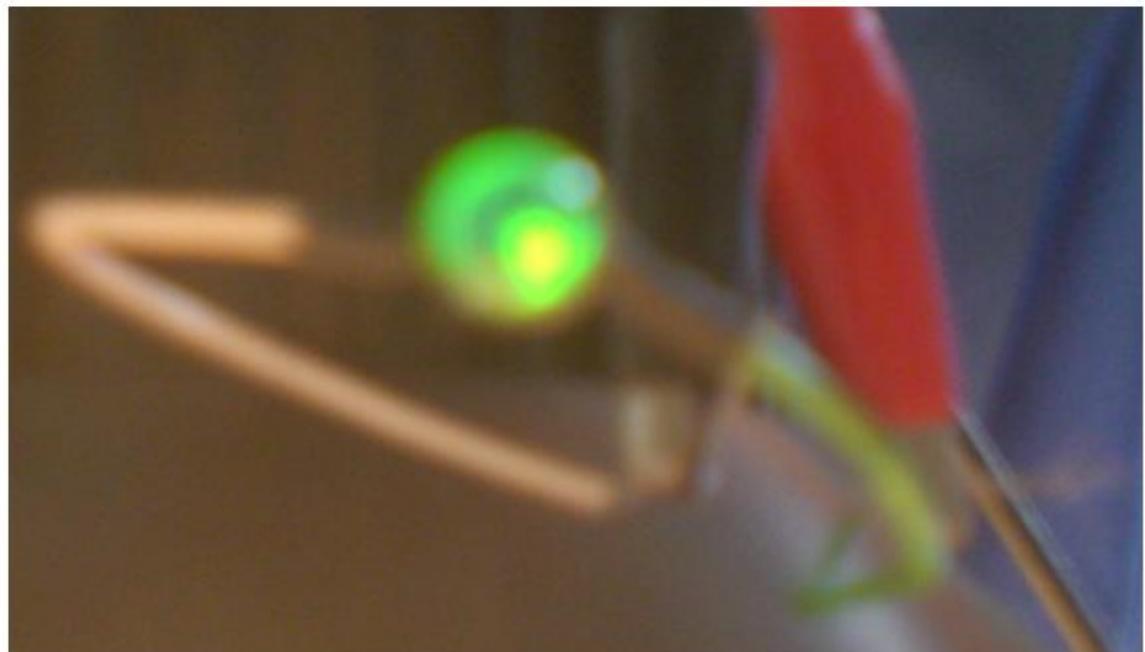


Green LED

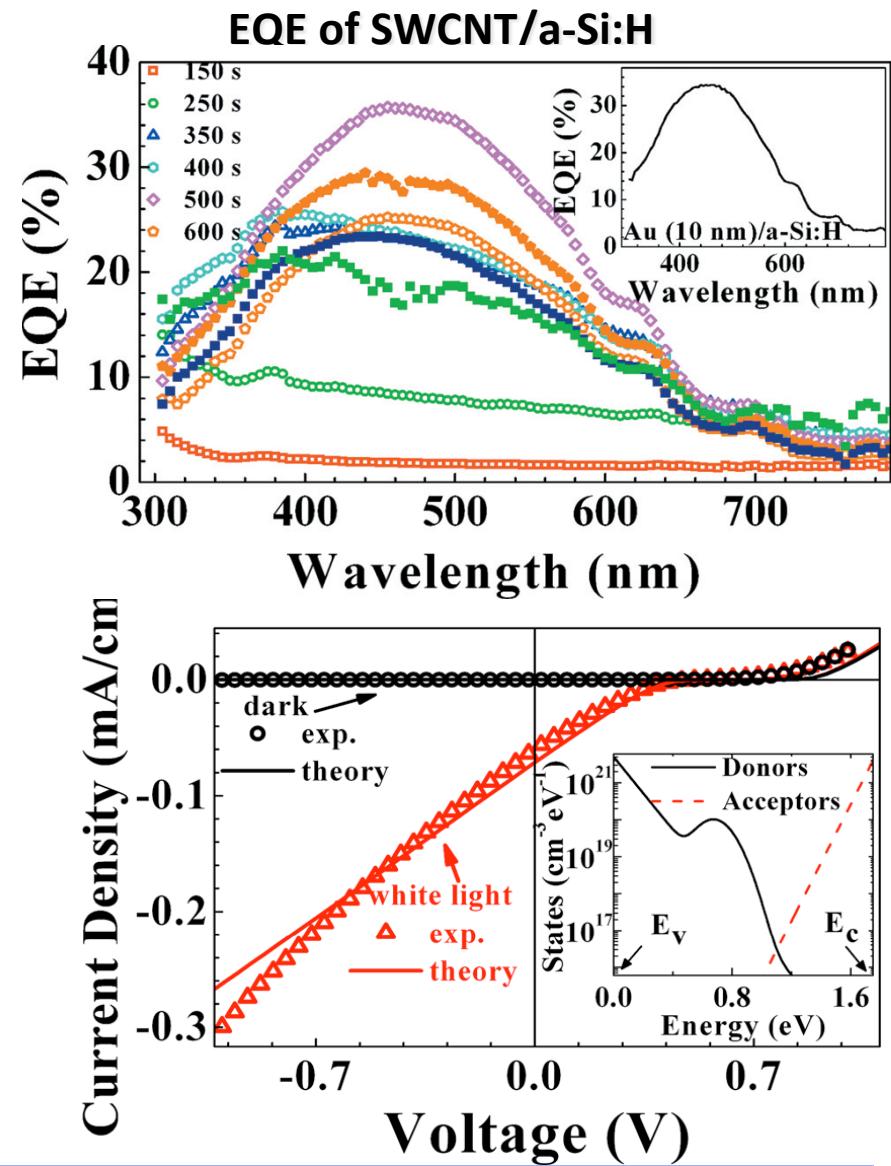
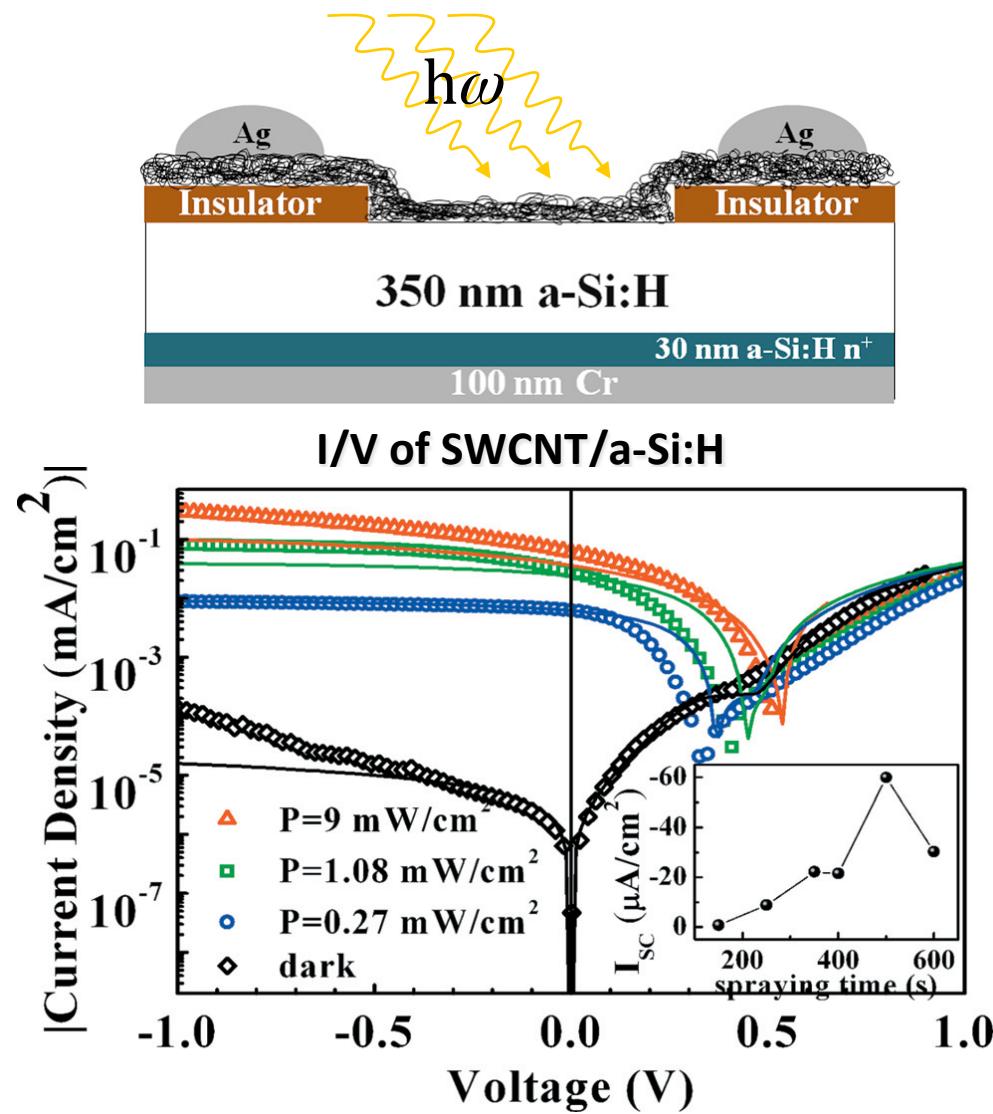
Technical data:

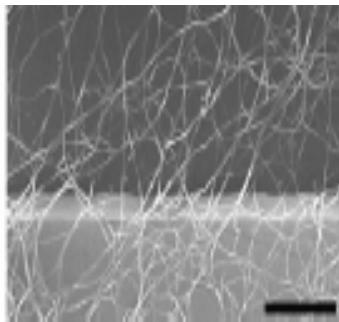
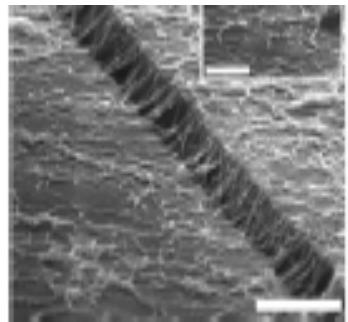
5 n-silicon substrates covered
by 0.1 micron of sprayed
Single Wall Carbon Nanotubes
connected in series
Total Voltage 2.2 V,
Intensity 1.5 mA, power 3.3 mW

lamp illumination



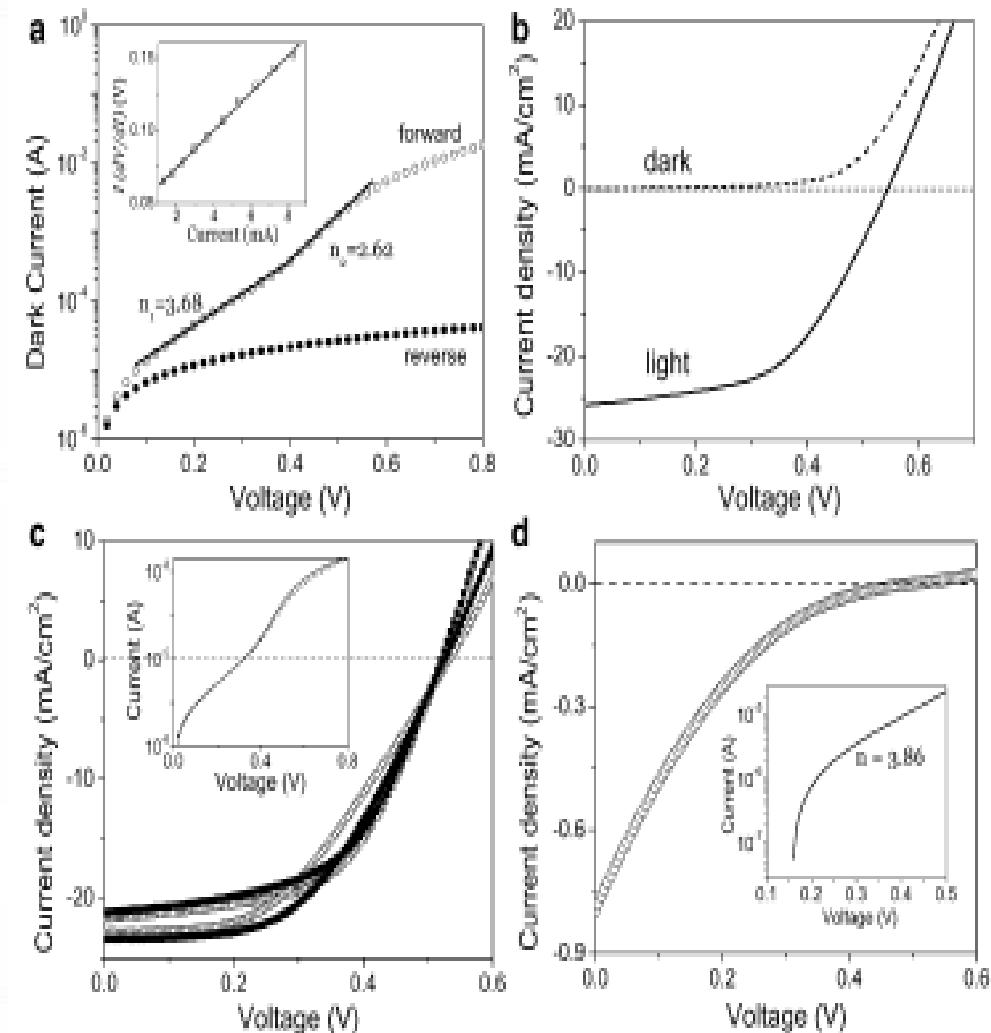
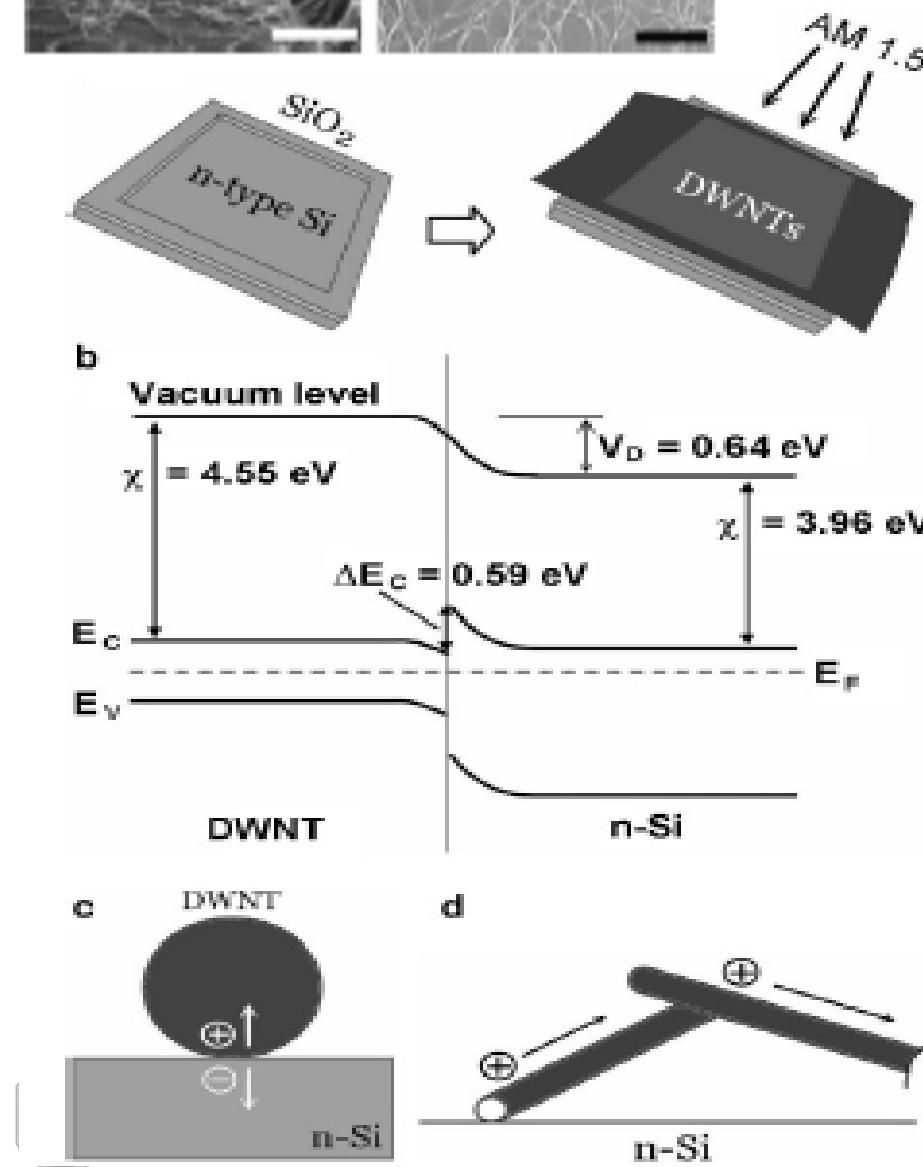
SWCNT/amorphous Si (a-Si:H)





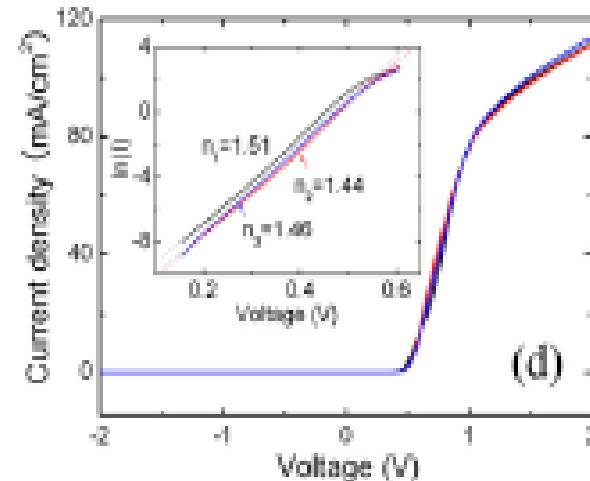
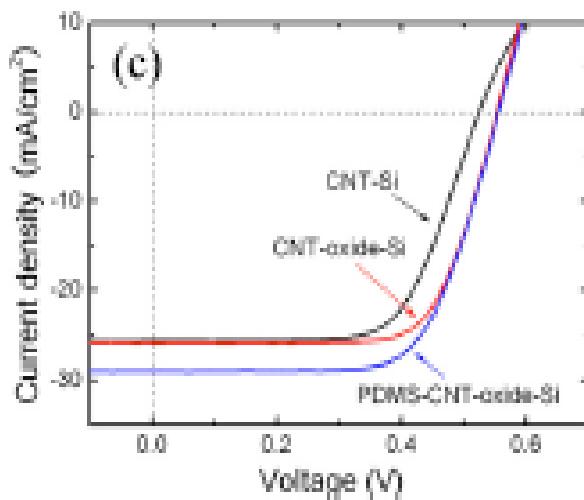
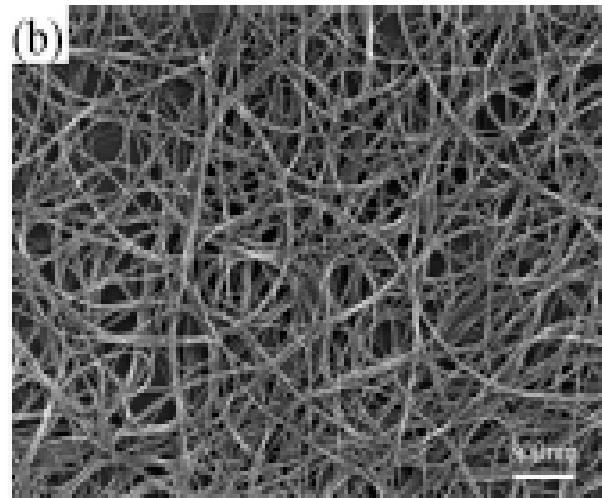
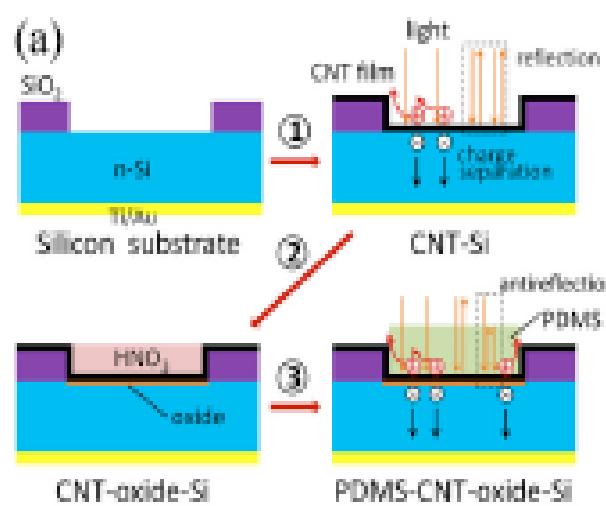
Nanotube–Silicon Heterojunction Solar Cells

Wei et al, Advanced Materials 20, 4594 (2008)



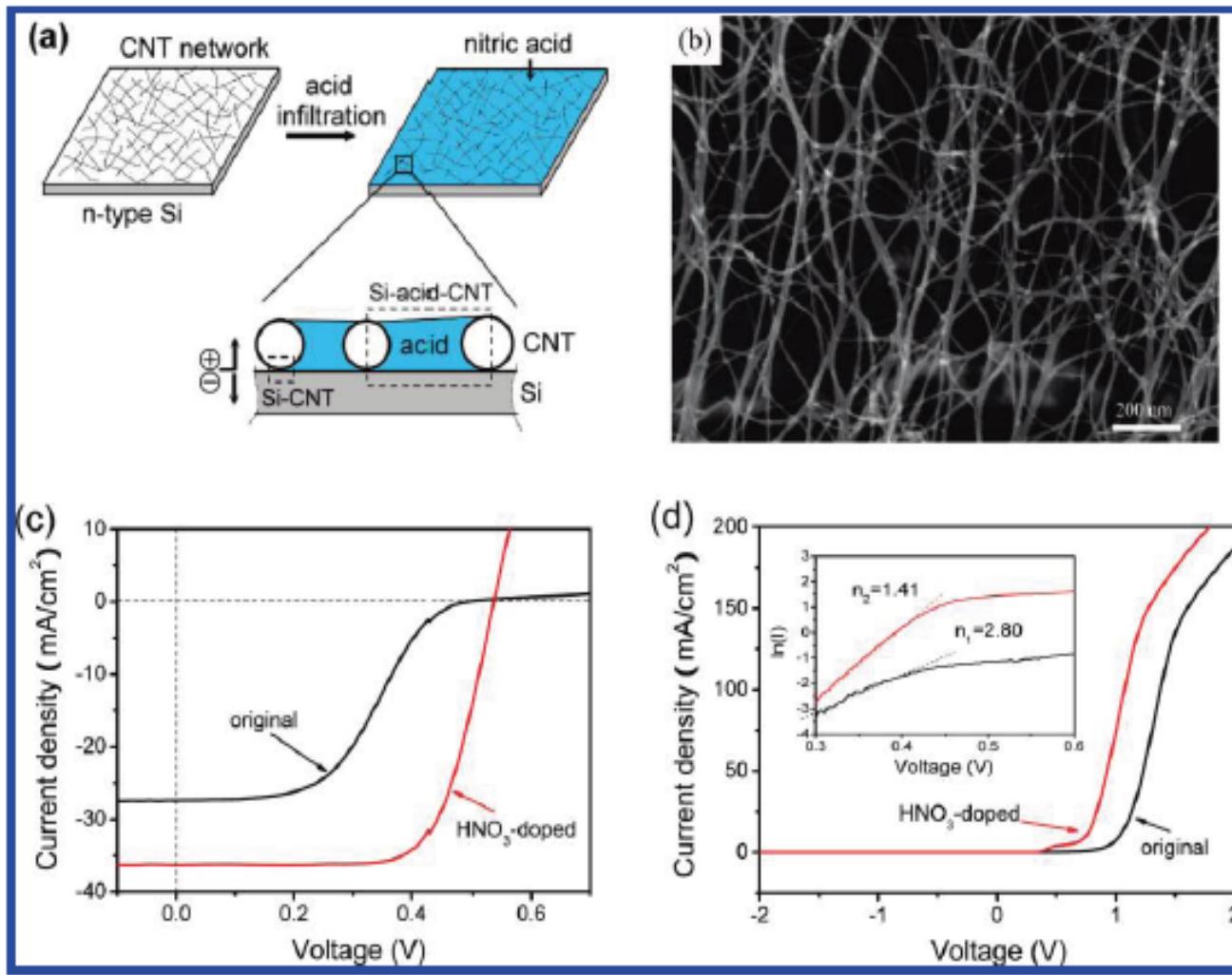
Encapsulated carbon nanotube-oxide-silicon solar cells with stable 10% efficiency

Y.Jia,D. Wu et al. Appl.Phys.Lett. 98, 133115 (2011)



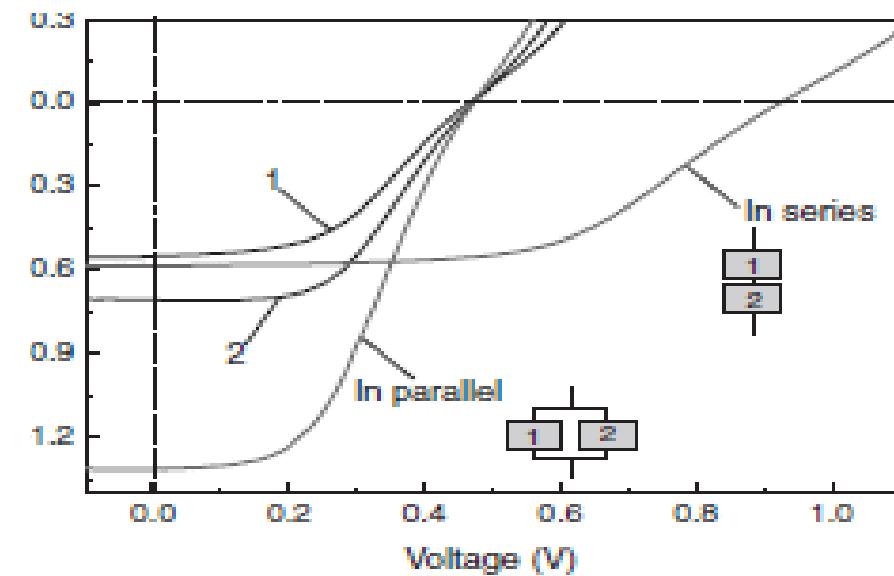
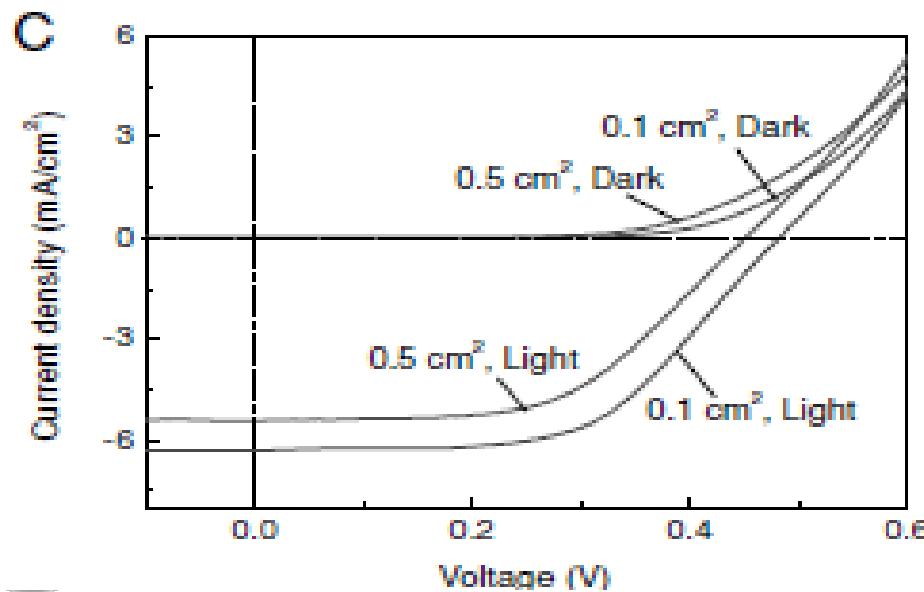
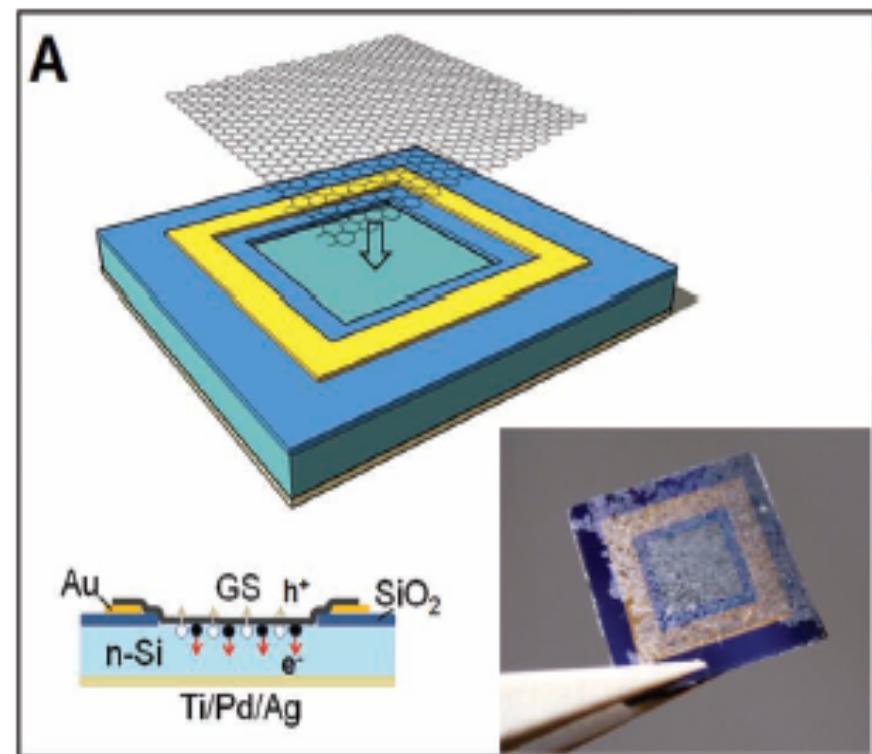
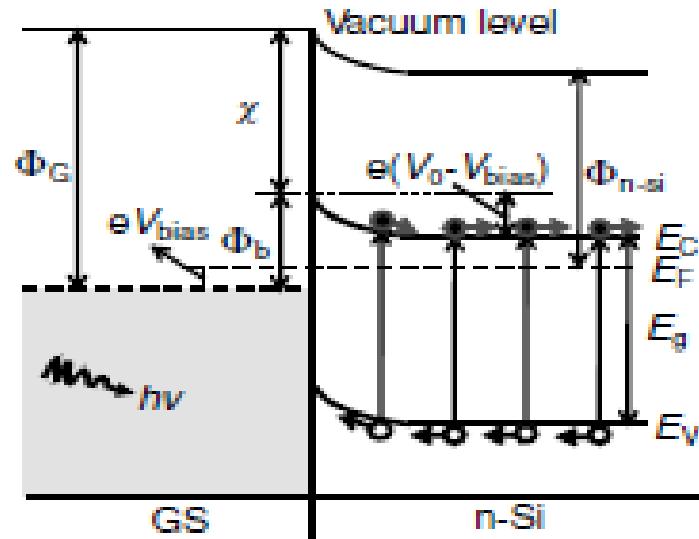
Cell structure	V _{oc} (V)	J _{sc} (mA/cm ²)	FF	R _s (Ω cm ²)	n	η (%)
CNT-Si	0.52	25.6	67.3	6.4	1.51	8.9
CNT-oxide-Si	0.55	25.8	71.5	5.9	1.44	10.1
PDMS-CNT-oxide-Si	0.56	29.0	67.6	6.0	1.46	10.9

P.Wadhawa et al., Nanoletters 10, 5001 (2011)

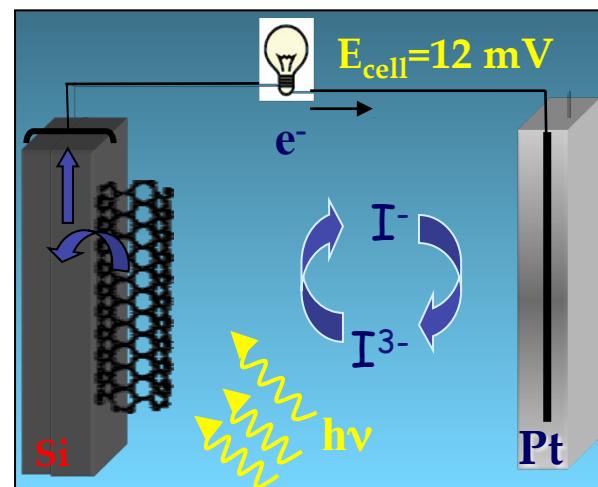


Graphene-On-Silicon Schottky Junction Solar Cells

Li, Wu et al., Adv. Mat. 22, 2743 (2010)



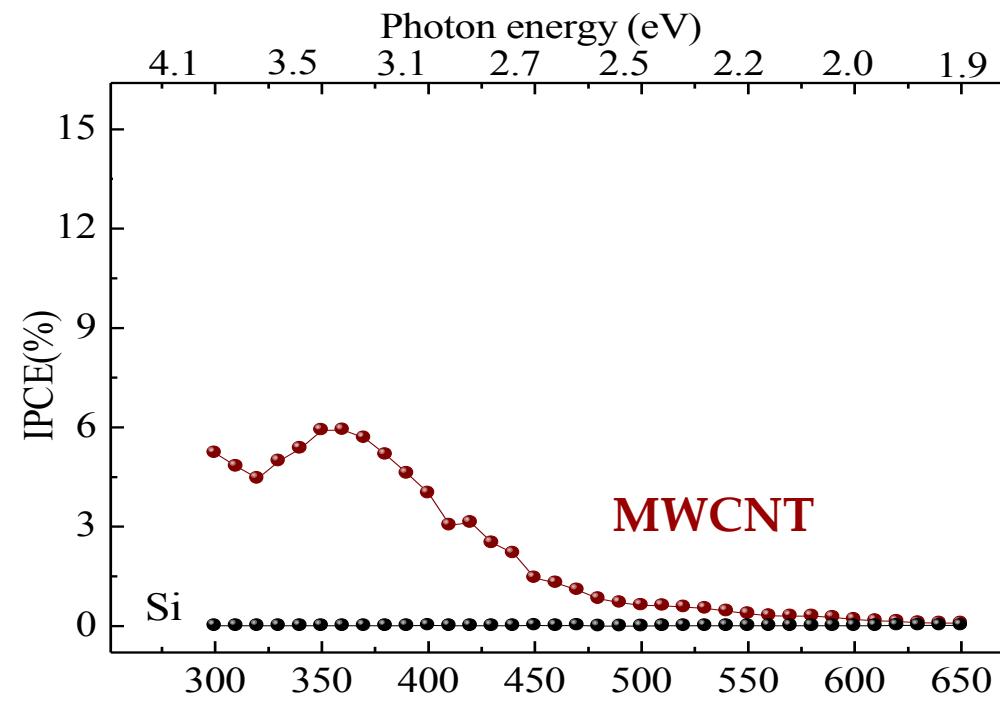
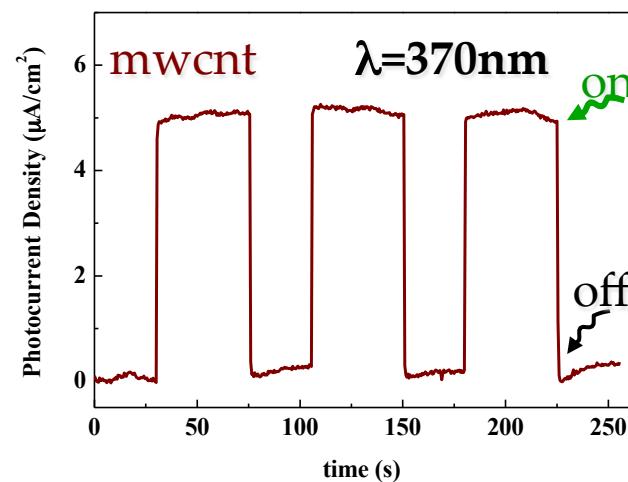
Graetzel cell



Electrolyte 0.5 M NaI + 0.01 M I₂ in acetonitrile 150W Xe lamp
 $(\lambda > 300 \text{ nm})$ equipped with a monochromator, $E_{\text{cell}} = 12 \text{ mV}$

$$\text{IPCE}(\%) = \frac{100 i(\text{A}/\text{cm}^2) 1240}{I(\text{W}/\text{cm}^2) \lambda(\text{nm})}$$

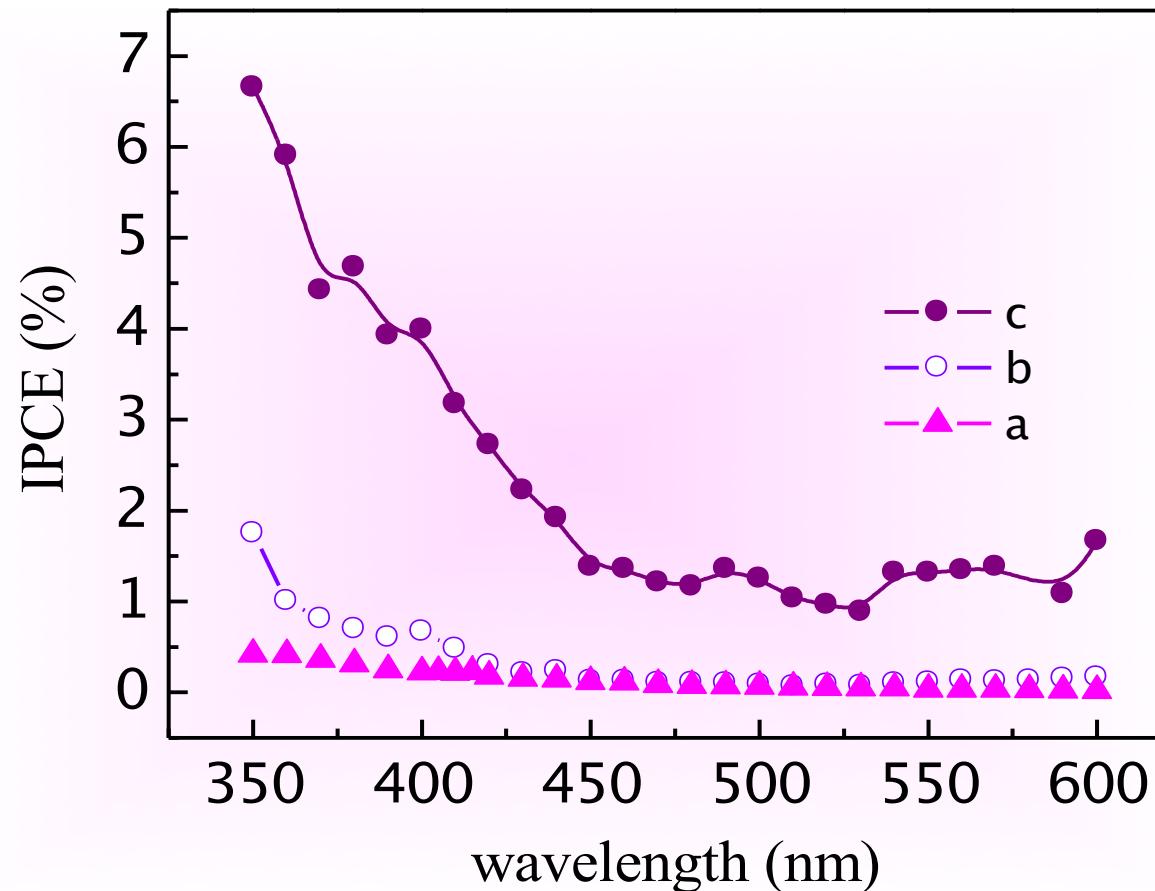
incident photon-to-charge carrier generation efficiency
i = short circuit photocurrent
I = incident light intensity
 λ = incident photon wavelength



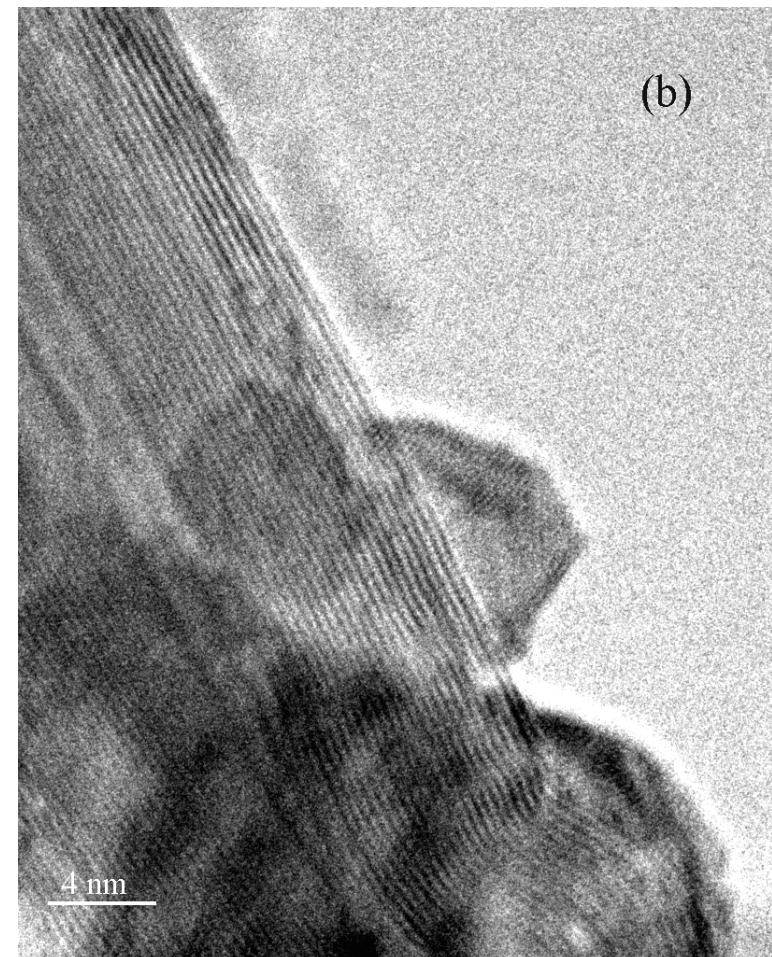
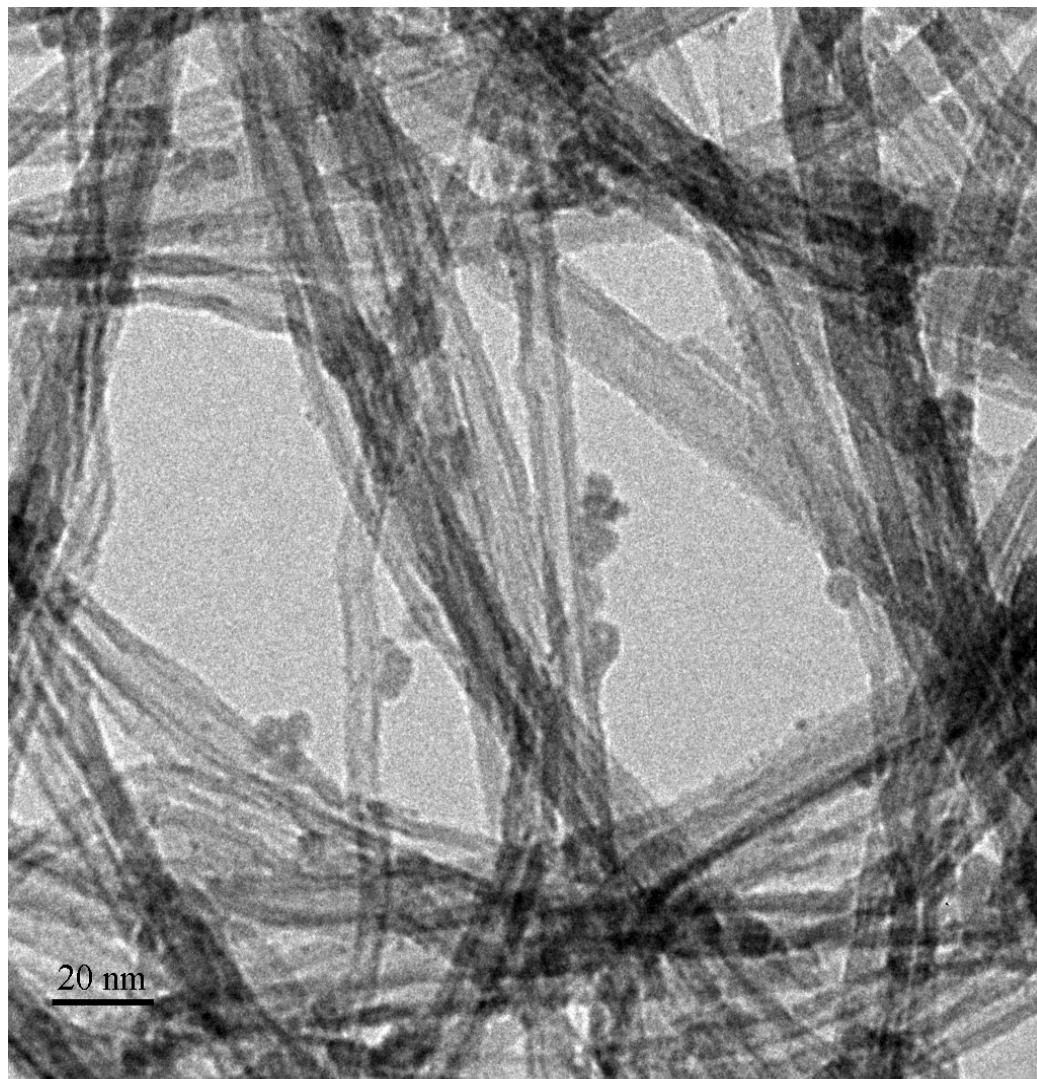
negligible contribution from Si substrate
 IPCE (at 370nm) MWCNTs 6% >> SWCNTs 0.2%

P.Castrucci, M.Scarselli,
 M.Venanzi, E.Gatto, M.Diociaiuti,
 E.Speiser, W.Richter,
 M.De Crescenzi,
 Appl.Phys.Lett. 89, 253107 (2006)

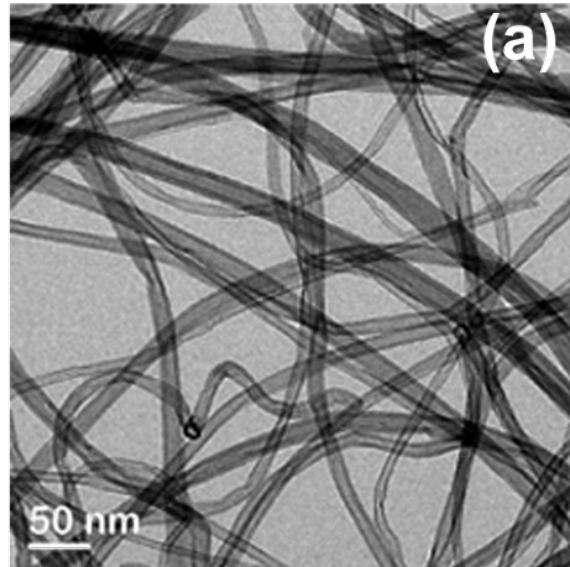
Sample	N (walls)	D (nm) outer diameter	d (nm) inner diameter
(a)	14 ± 5	20 ± 8	11 ± 5
(b)	10 ± 3	13 ± 2	7 ± 2
(c)	9 ± 2	12 ± 4	6 ± 2



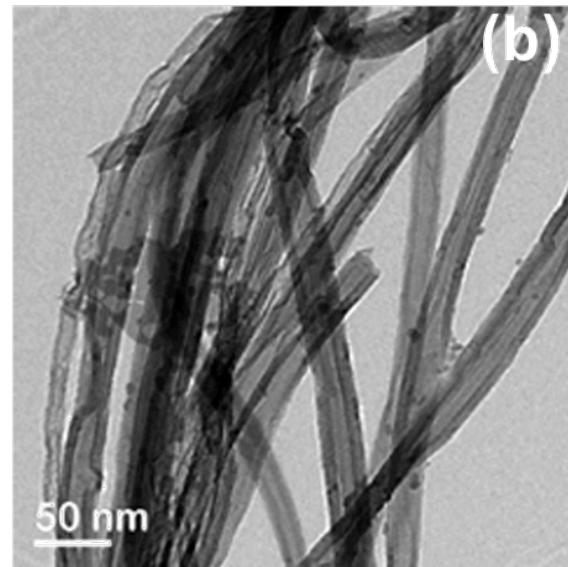
TEM MWCNTs decorated with Cu Nanodots



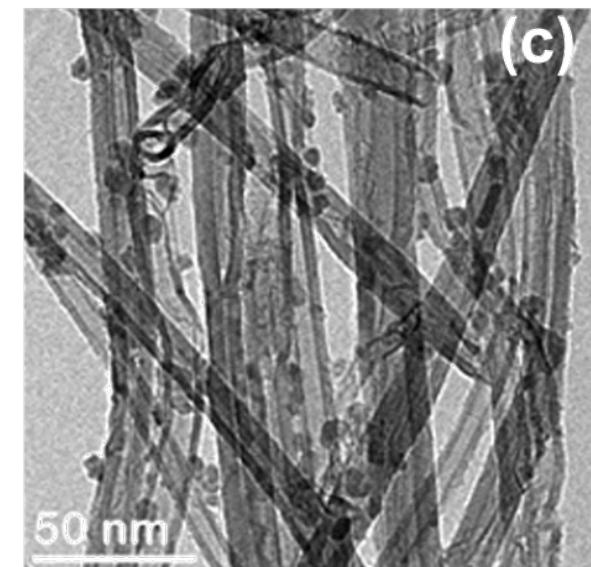
M.Scarselli , P.Castrucci, M.De Crescenzi al., J. Phys. Chem. C 113,
5860 (2009)



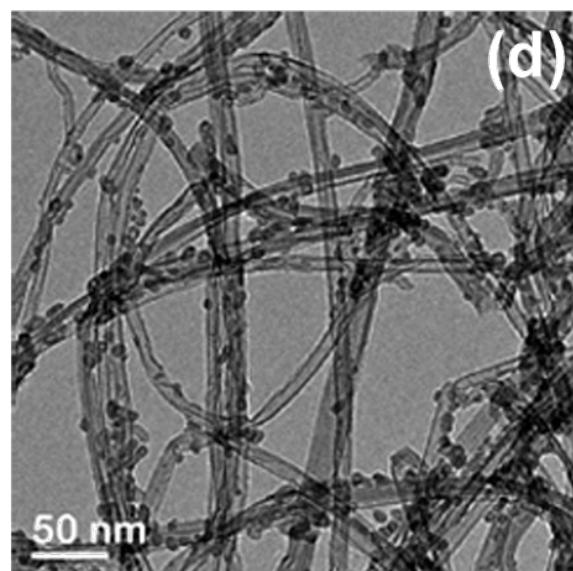
clean CNT



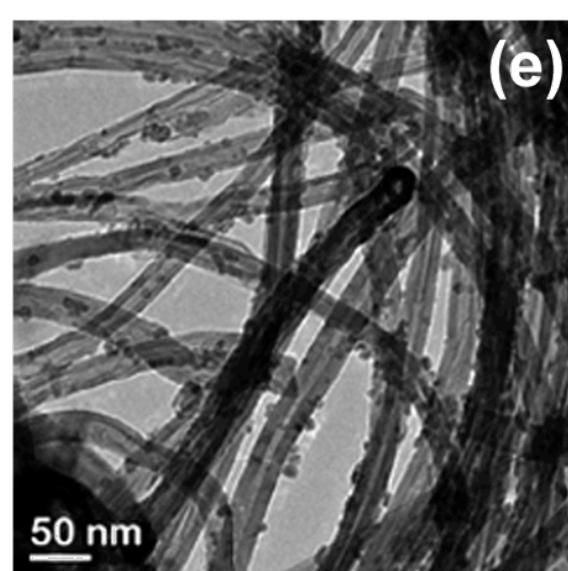
0.1 nm



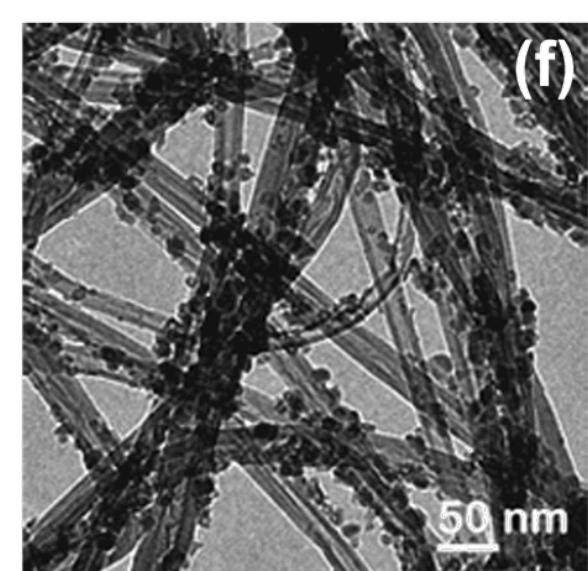
0.2 nm



0.5 nm

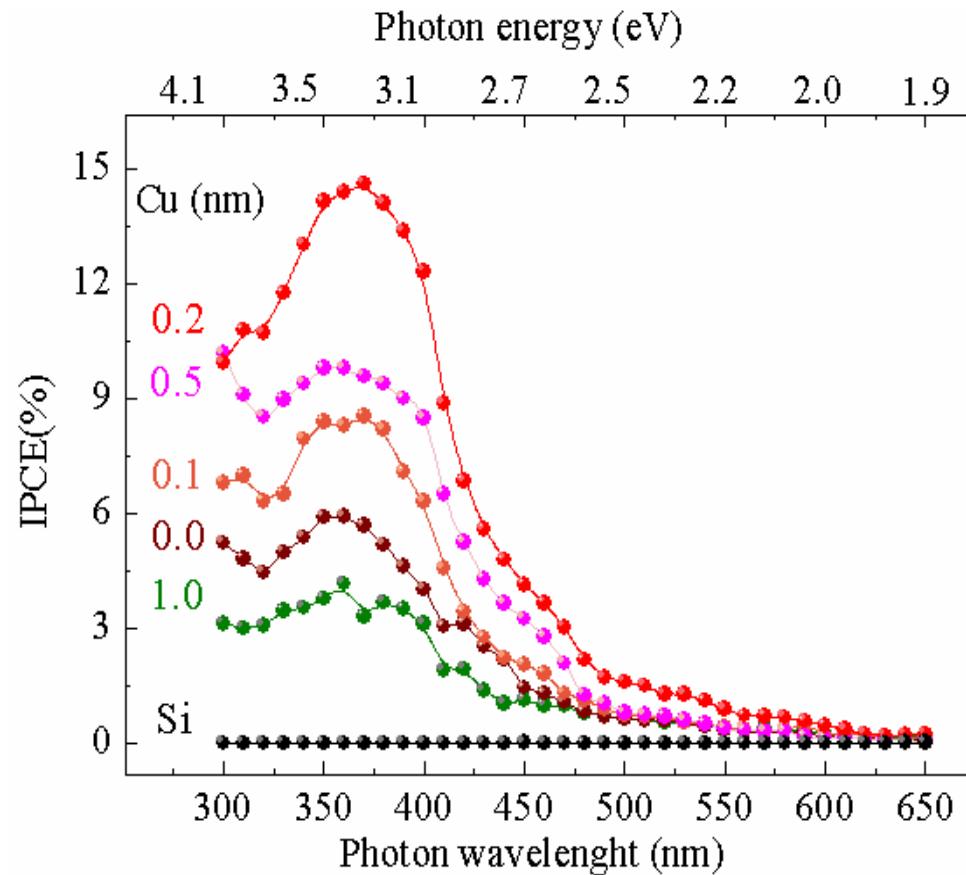
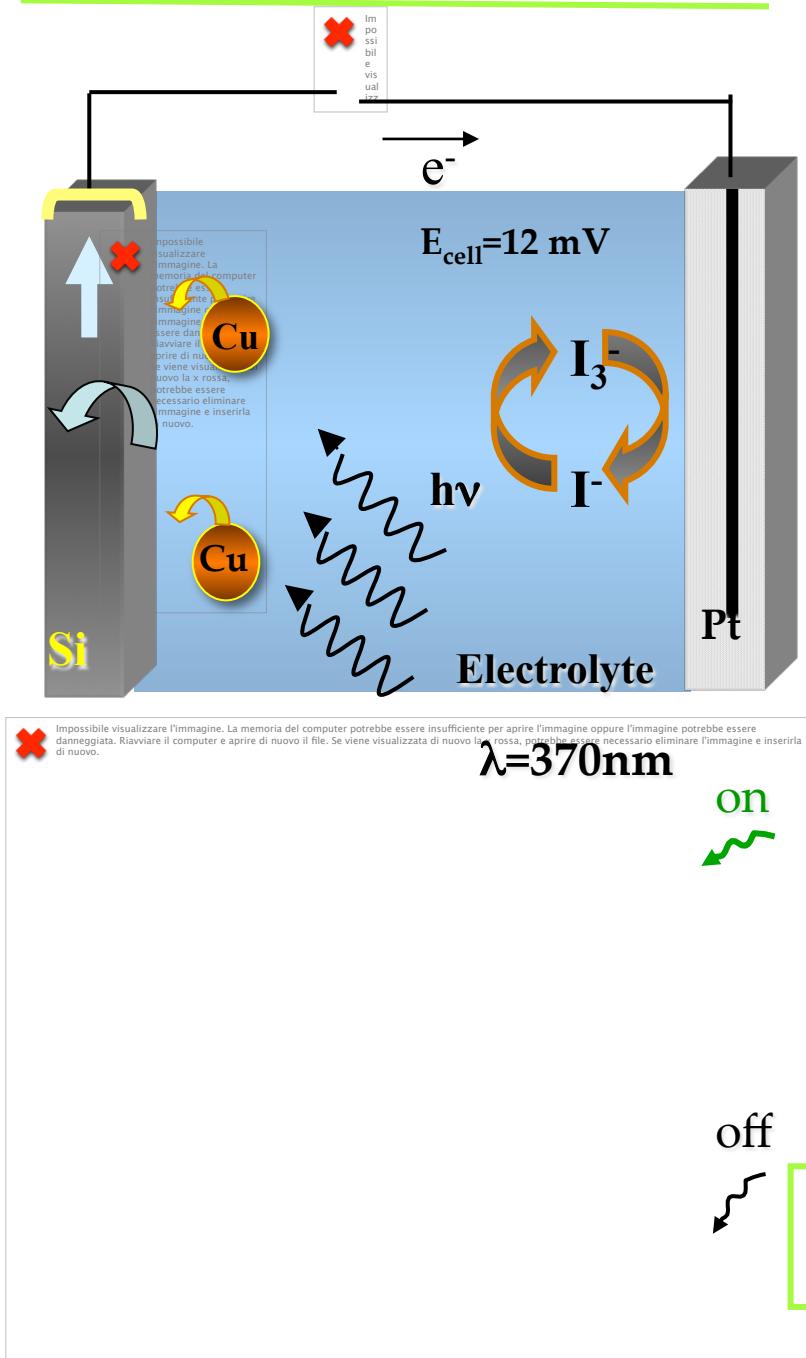


1 nm



3 nm

Photocurrent from Cu-MWCNT

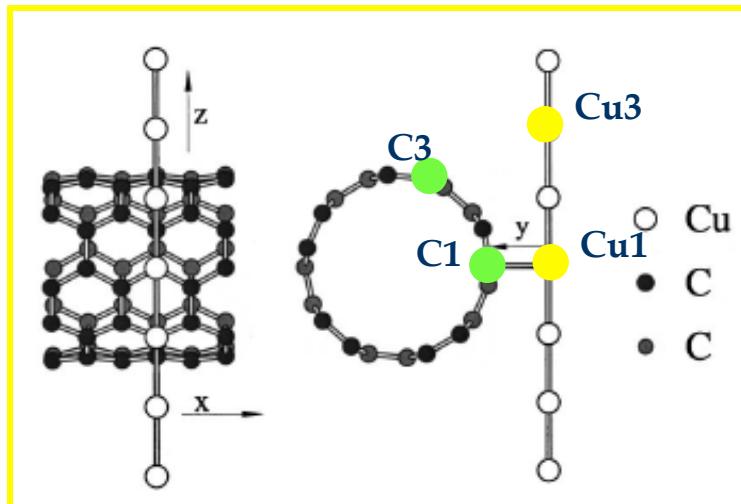


(~ 370nm) IPCE 14.6 % for 0.2 nm Cu (•)
vs 5.6 % for MWCNT (•)
0.2 nm Cu (•) average particle size 5.6 nm

off

- anodic photocurrent generation
- enhanced photocurrent for Cu NPs on MWCNTs

Theoretical investigations

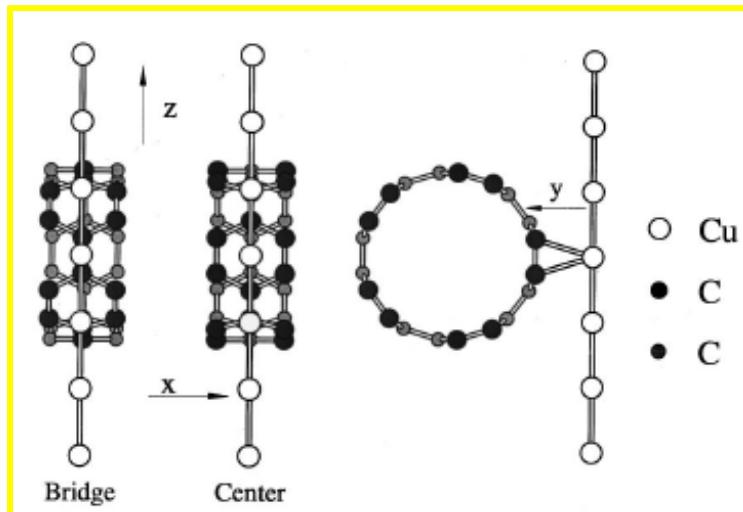


Calculations performed at a level of *ab initio* psuedopotential calculations on a model system which consists of a metallic tube in contact with a copper chain lying perpendicular to it.

on-top geometry



the on-top position is the most stable with some energy gain

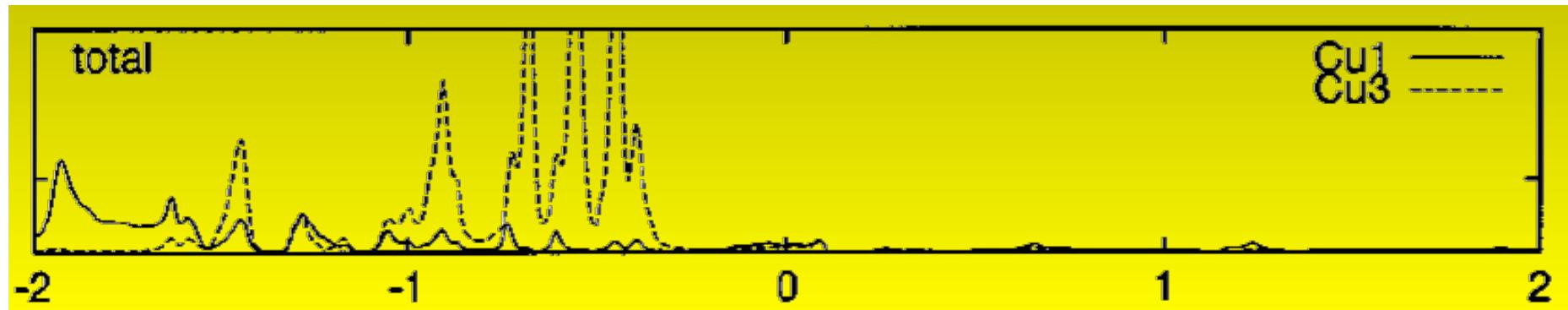


bridge and center geometry

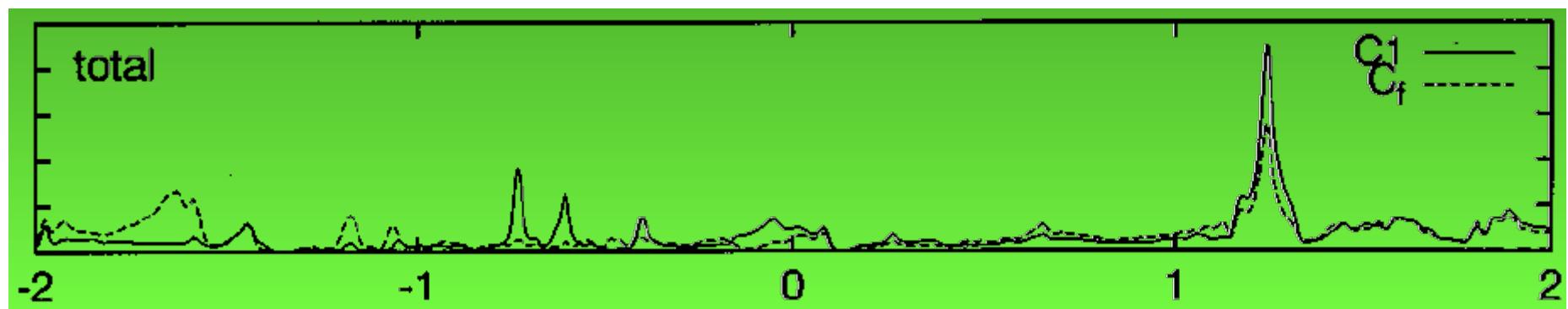
the bonding character of the on-top geometry may be regarded as IONIC

K. Kong, S. Han, J. Ihm, *Phys. Rev. B* 1999, 60, 6074

LDOS from theoretical calculations



The 3s and 3d electrons in the LDOS of Cu1 vs. Cu3 in the (-1.5 ÷ - 0.5) eV energy range are depleted



On the other hand the LDOS of C1 vs C3 increases thus a higher number of electronic states is made available

 the electrons are transferred from the Cu chain to the CNT



Conclusions

- ✿ Carbon nanotubes generate photocurrent in the near ultraviolet and visible spectral range (solid state photocurrent measurements and electrochemical cell Graetzel-type). The EQE for MWCNTs can reach similar values than that from SWCNTs.
- ✿ The photogenerated current depends on several effects: formation of e-h in the CNTs, Schottky barrier with the electrodes, heterojunction formation with substrate. EQE of SWCNTs/Si reached 70%. Crystalline as well as amorphous silicon substrates have been used.
- ✿ The IPCE grows when CNT's are decorated with Cu nanoparticles.
- ✿ The high conversion efficiency of Carbon nanotubes deserves further theoretical as well as experimental investigation in view of the integration of these nanostructures as building blocks in photovoltaic nanodevices.

Collaborations :

**P.Castrucci, M.Scarselli, S.Del Gobbo, L.Camilli,
W.Richter, B.Buik**

(Physics Department, Roma TorVergata, Italy)

M. Venanzi, E. Gatto (Chem. Dept., Roma TorVergata, Italy)
Photoelectrochemical current measurements

M. Diociaiuti (ISS, Italy), **S.Casciardi** (INAIL, Italy)
SEM, TEM images & transmission EELS spectra

V.Le Borgne, M.A.El Khakani
(Université du Québec, Varennes, Canada)
SWCNTs by laser deposition

International Collaborations and projects:

M.A.El Khakani
(Université du Québec,Varennes, Canada)
SWCNTs by laser deposition (MAE project)

N.Motta, John Bell...
(QUT University, Australia) NIRAP project

S.Lefrant
(Nantes University, Nantes, France) Galileo Project

S.V.Bhoraskar
(Pune University, India) Silicon nanotubes synthesis

I.Berbezier
(CNRS, Marseille, France) Ge nanodots for solar cells

Thanks for your attention

